

Comparative Index of Environmental Sentences

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The Unlawful Destruction of Habitat - Environment

Country	Date	Offender	Legislation	Nature of the Offence	Charges	Regulation Contravened	Sentence *	Court Orders	Notes
Australia (NSW)	2002	Verna Wall (3)	Fisheries Management Act	Removed approx 3000 mangrove trees from Crown land.		FMA s.205(2) - harming marine vegetation in a protected area	\$5,441	Ordered to fence the land in accordance with the agreed specifications; Prosecution costs ordered.	Guilty plea.
India	1988	Union of India (Dehradun Valley) (11)	Public Interest Litigation	Lime-stone quarries were polluting the environment, causing ecological imbalance and destruction of forest and rivers.		Writ Petitions Nos. 8209 & 8821 of 1983 under article 32 of the Constitution of India.	Court Order	Supreme Court set up a committee to inspect the quarries and eventually ordered all but three closed. Court also ordered the area to be reforested.	
India	1991	Vijay Shree Mines (13)	Public Interest Litigation	One of the mines permitted to operate after the Dehradun Valley litigation - misused the permission and disregarded directions.			\$82,500	Fine paid to fund the Monitoring Committee.	
India	1993	Union of India (Sariska Case) (13)	Public Interest Litigation	Open-cast mining for limestone and marble in a tiger sanctuary without permission from the government.			Court Order	Ordered mines within the park to stop operation; mines outside the park had 4 months to get permission or cease operation.	
New Zealand	1994	Halliday (16)	Resource Management Act	Cleared native trees and vegetation, main area – 100 m x 2 m.	2	RMA - s.9 Restrictions on use of land.	\$1,783	Court costs ordered.	Guilty plea. Fine reduced from \$2,674 on appeal.
New Zealand	1995	Reynolds (16)	Resource Management Act	Destruction of cedar.		Not specified.	2 months "periodic detention"		Fine of \$295 quashed on appeal; new sentence imposed.
New Zealand	1997	Applegath (16)	Resource Management Act	Clearing native plants; site works; diversion or modification of water course.	4	RMA - s.9 Restrictions on use of land	\$6,625	No fine imposed on one of the four charges.	

* All fines, payment and costs are given in US dollars as calculated using the average exchange rate for the year of the decision. See page 82.

The Unlawful Destruction of Habitat - Fisheries

Country	Date	Offender	Legislation	Nature of the Offence	Charges	Regulation Contravened	Sentence	Court Orders	Notes
Canada	2001	Basso (7)	Fisheries Act	Work that results in the harmful alteration, disruption or destruction of fish habitat.		FA - s.35(1)	\$9,691		Fine reduced from \$12,922 on appeal.
Canada	2003	Barkley, James Barton (7)	Fisheries Act	Work that results in the harmful alteration, disruption or destruction of fish habitat.		FA - s.35(1)	\$2,149		
Canada	2003	Barkley Enterprises Inc. (7)	Fisheries Act	Work that results in the harmful alteration, disruption or destruction of fish habitat.		FA - s.35(1)	\$3,582		
Canada	2004	Fox Harb'r Development Limited (7)	Fisheries Act	Work that results in the harmful alteration, disruption or destruction of fish habitat.		FA - s.35(1)	\$138,654		Guilty plea.
New Zealand	1998	Hitchman (16)	Resource Management Act	Excavation a stream bed.		RMA - s.13 Restrictions on beds of rivers/lakes.	\$537		
New Zealand	1998	Fugle (16)	Resource Management Act	Excavation of bed of stream.		RMA - s.13 Restrictions on beds of rivers/lakes.	6,446	Court costs ordered - \$1,343	
New Zealand	2000	Haines House Removals Ltd (16)	Resource Management Act	Disturbance of foreshore by barge while removing a house.	2	RMA - s.12(1) destroy/damage foreshore or seabed	\$12,363		
New Zealand	2001	Airey Consultants Ltd (16)	Resource Management Act	Permitting a structure in the bed of a river contrary to a consent order.		RMA - s.338	\$2,290	\$1,263 for expert witness costs, as well as court costs and solicitor fees.	

Failure to Comply with Administrative Orders

Country	Date	Offender	Legislation	Nature of the Offence	Charges	Regulation Contravened	Sentence	Court Orders	Notes
Australia (NSW)	2003	Kenneth Martin Graham (1)	Protection of the Environment Operations Act	Failed to comply with a prevention notice issued to him pursuant to s.96.		POEO Act - s.97	\$6,202	Prosecution costs ordered.	
Australia (NSW)	2005	Andrew Evan Thaler (1)	Protection of the Environment Operations Act	Failed to comply with an order made following a conviction under the POEO.		Contempt of court.	\$38,525	Prosecution costs ordered.	
Canada	2004	Vesttind (F/V) (8)	Canada Shipping Act	No response organization agreement in place.		CSA - s.660.2(2)(b)	\$2,311		
New Zealand	1994	McCollum (16)	Resource Management Act	Used land as a pig farm in contravention of an order of the Planning Tribunal.		RMA - s.338 Breach of an enforcement order.	6 months incarceration	Sentence suspended under Criminal Justice Act order.	
New Zealand	1995	Wilson (16)	Resource Management Act	Breach of enforcement order, pruning of pigeon wood.	2	RMA - s.338 Breach of an enforcement order.	100 hours	100 hours of community service.	
New Zealand	1995	Horo (16)	Resource Management Act	Contravention of abatement notice requiring defendant to tidy property, remove junk.		RMA - s.338 Breach of an abatement order.	\$493	Order to clean yard.	Fine reduced from \$656 on appeal.
New Zealand	1996	Prokes (16)	Resource Management Act	Contravention of abatement notice requiring removal of junk.		RMA - s.338 Breach of an abatement order.	\$688		Fine reduced from \$1,376 on appeal; order for costs quashed.
New Zealand	1996	Kiwi Well Drilling Company Limited (16)	Resource Management Act	Drilling water bores without complying with conditions of resource consent (11) and without applying/obtaining a permit (9).	20	RMA - s.338	\$12,044	Court costs ordered - \$8602	Reduced on appeal from \$91,186 plus costs of \$14,910.
New Zealand	1996	Smith - director of Kiwi Well Drilling (16)	Resource Management Act	Drilling water bores without complying with conditions of a resource consent (11) and without applying/obtaining a permit (9).	20	RMA - s.338	\$5,162	Court costs ordered \$5,162.	Reduced on appeal from \$27,528 plus costs of \$9,175.

New Zealand	1998	Zeitler (16)	Resource Management Act			RMA - s.338 Breach of an enforcement order.	\$2,686		Fine reduced from \$5,372 on appeal,
New Zealand	2004	William Victor George Conway (18)	Resource Management Act	Disobeying court orders requesting him to cease polluting and for other offences.			3 months incarceration		

Failure to Obtain Consent or Administrative Orders

Country	Date	Offender	Legislation	Nature of the Offence	Charges	Regulation Contravened	Sentence	Court Orders	Notes
Australia (NSW)	2001	Byron Shire Council (1)	Clean Waters Act	Failed to obtain pollution control approval for the construction of a leachate evaporation pond.		CW Act - s.19	\$15,546	Prosecution costs ordered - \$2,098	
United States	2002	JTA Real Estate Brokerage and Property (28)	The Toxic Substances Control Act	Failed to obtain the proper disclosure forms regarding the presence of lead paint and lead contaminated dust.	7	TSCA s.2615 - knowingly violates.	\$40,000	36 months probation	Criminal prosecution. Guilty plea.
United States	2002	Owner of JTA Real Estate Brokerage and Property (28)	The Toxic Substances Control Act	Failed to obtain the proper disclosure forms regarding the presence of lead paint and lead contaminated dust.	7	TSCA s.2615 - knowingly violates.	\$40,000 15 months incarceration	36 months probation	Criminal prosecution. Guilty plea.

Failure to Register with Administrative Body

Country	Date	Offender	Legislation	Nature of the Offence	Charges	Regulation Contravened	Sentence	Court Orders	Notes
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United Kingdom	2005	Clifford Packaging (25)	Environment Act	Failed to register with the EA for a compliance scheme; to recover and recycle a specified tonnage of packaging waste; prove the targets had been met.	7	Producer Responsibility Obligations (Packaging Waste) Regulations	\$16,704	Prosecution costs ordered - \$1,815	Guilty plea.
United Kingdom	2005	British Ceramic Tile Ltd. (25)	Environment Act	Failing to register under waste packaging regulations.		Producer Responsibility Obligations (Packaging Waste) Regulations	\$6,496	Prosecution costs ordered - \$3,925	Guilty plea - brought their non-compliance to EPA.
United Kingdom	2005	Cine-UK Ltd. (25)	Environment Act	Failing to register as a producer of packaging and for failing to meet its requirements to recover and recycle packaging waste during 2001.	2	Producer Responsibility Obligations (Packaging Waste) Regulations	\$9,280	Prosecution costs ordered - \$2,761	Guilty plea.
United Kingdom	2005	Brady Corporation Ltd (25)	Environment Act	Failure to register following a merger and failing to take reasonable steps to recover and recycle packaging waste.	2	Producer Responsibility Obligations (Packaging Waste) Regulations	\$11,136	Prosecution costs ordered - \$3875	Guilty plea.
United Kingdom	2005	Design Objectives Limited (25)	Environment Act	Failed to register how much packaging it was using; fell short of recovery and recycling targets; failed to furnish a Certificate of Compliance; saving over \$3,839.	6	EA s.95 and Producer Responsibility Obligations (Packaging Waste) Regulations	\$9,280	Prosecution costs ordered - \$2,967	Guilty plea.

Failure to Report Incident or Information

Country	Date	Offender	Legislation	Nature of the Offence	Charges	Regulation Contravened	Sentence	Court Orders	Notes
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Canada	1999	Akzo-Nobel Chemicals Ltd (9)	Canadian Environmental Protection Act	Failure to provide information as required on an manufactured/imported NDLS substance.		New Substances Notification Regulations	\$40,416	\$10,104 to the Credit Valley Conservation Authority for watershed restoration. \$10,104 to an industry association for training its members on complying with the NSNR.	
Canada	1999	Ocean Selector Fisheries Ltd. (9)	Canadian Environmental Protection Act	Failure to provide information on imported/manufactures substance <20 kg.		New Substances Notification Regulations	\$13,472	\$10,777 is to go to a court order for environmental purposes.	
Canada	2004	Olga F/V (8)	Canadian Shipping Act	Russian vessel failed to report discharge of oil.		Pollutant Discharge Reporting Regulations	\$15,406		
Canada	2004	Olga F/V (8)	Canadian Shipping Act	Russian vessel failed to report discharge of oil.		Pollutant Discharge Reporting Regulations	\$7,703		
Canada	2004	Olga F/V (8)	Canadian Shipping Act	Failed to arrange for a response organization to which a certificate of designation had been issued.		CSA - s.660.2(2)(b)	\$2,310		
New Zealand	2003	Vaughn Nigel Curtis (17)	Fisheries Act	Failed to fill out daily/monthly catch or harvest return forms for approx 20 trips.		Not specified.	\$4,014	\$4,014 in fines and costs.	Guilty plea.
United States	1999	TCP Company - formerly Grant Paper (28)	Clean Air Act	Hired Parsons to demolish their old factory (See Howard Bradley Parsons case).	1	CAA - s.7413(c)(2)(B) - fails to notify or report.	\$1,350,000	60 months probation.	Criminal prosecution. Guilty plea.

Fishing Offences - General

Country	Date	Offender	Legislation	Nature of the Offence	Charges	Regulation Contravened	Sentence	Court Orders	Notes
Australia (NSW)	2003	Individual Defendant (3)	Fisheries Management Act	Illegally trapped eels in the Mann River; using an unlicensed boat to take fish for sale.	4		\$1,893	Ordered to forfeit his boat and pay court costs.	
Australia (NSW)	2003	Individual Defendant (3)	Fisheries Management Act	Using an illegal trawling net; 500 kg of fish were seized.			\$5,222	Boat and the catch were forfeited.	
Australia (NSW)	2003	Two Individuals (3)	Fisheries Management Act	Caught using an illegal prawn trawling net.			\$3,786		
Australia (NSW)	2003	Two Individuals (3)	Fisheries Management Act	Caught using two illegal gill nets.			\$261	Ordered to forfeit his boat.	
Australia (NSW)	2003	Individual Defendant (3)	Fisheries Management Act	Illegally possessing a commercial fishing net; possessed undersized fish.			\$2,938	Forfeit fishing boat.	
Australia (NSW)	2003	Individual Defendant (3)	Fisheries Management Act	Illegally possessing 154 set lines, four gill nets and 20 fish.			\$4,243	Ordered to pay court costs of \$114	
Australia (NSW)	2005	Kyuong Chang Kim	Fisheries Management Act	Multiple offences around illegal fishing for abalone - exceeded quotas; size limits; shucking adjacent to waters; license; obstruction etc.	8	Fisheries Management (General) Regulation	\$12,328	Prosecution costs ordered - \$15,410	
Australia (NSW)	2005	Individual Defendant (3)	Fisheries Management Act	Possession of undersize abalone and lobsters, exceeding the bag limit for abalone, and for taking lobsters with a spear.	3		\$6,164		

Australia (NSW)	2005	Individual Defendant (3)	Fisheries Management Act	Possession of undersized lobsters and obstructing DPI fisheries officers.	2		\$2,412		
Australia (VIC)	2004	Gregory John Douglas (4)	Fisheries Act	Illegally taking fish, catching Murray cod during the closed season and two counts of using commercial fishing equipment.	4		\$1,106	Prosecution costs ordered - \$1,769	
Australia (VIC)	2003	Phat Van Pham (4)	Fisheries Act	Trafficking a commercial quantity of abalone; giving a false address.			\$163 15 months incarceration	10 months of sentence suspended. Ordered to forfeit his diving equipment and not to possess abalone for 10 years.	Guilty plea.
Canada	1987	Alegria, Juan (See Mayah, Antonio) (7)	Coastal Fisheries Protection Act	Unlawful entry into Canadian fisheries waters.		CFPA - s.7	\$18,860		Appeal denied
Canada	1987	Alegria, Juan (See Mayah, Antonio) (7)	Coastal Fisheries Protection Act	Unlawful fishing in Canadian waters.		CFPA - s.3	\$22,632	Forfeit \$94,300	
Canada	1987	Mayah, Antonio (See Alegria, Juan) (7)	Coastal Fisheries Protection Act	Unlawful entry into Canadian fisheries waters.		CFPA - s.7	\$15,088		Appeal denied
Canada	1987	Mayah, Antonio (See Alegria, Juan) (7)	Coastal Fisheries Protection Act	Unlawful fishing in Canadian waters.		CFPA - s.3	\$22,632	Forfeit \$94,300	
Canada	1999	Braun, Darrell Gordon (See Sandover-Sly) (7)	Fisheries Act	Fishing in a prohibited area for a prohibited species.		Pacific Fishery Regulations - s.63	\$8,083	\$3,368 under s.79 of the Fisheries Act "profit stripping" provision. Forfeit catch and diving equipment.	
Canada	1999	Sandover-Sly, Richard & Sheila (See Braun, G.) (7)	Fisheries Act	Fishing in a prohibited area for a prohibited species.		Pacific Fishery Regulations - s.63	\$4,715	Costs of \$471. Forfeit boat & equipment.	
Canada	2000	Mark, Kelvin (See Landmark Fishing Ltd.) (7)	Fisheries Act	Fishing with prohibited gear for a prohibited species during a closed time.	6	Pacific Fishery Regulations - s.30	\$30,325	\$28,303 under s.79 of the Fisheries Act "profit stripping" provision. Forfeit catch.	

Canada	2000	Landmark Fishing Ltd. (See Mark, Kelvin) (7)	Fisheries Act	Fishing with prohibited gear for a prohibited species during a closed time.	6	Pacific Fishery Regulations - s.30	\$30,325	\$28,303 under s.79 of the Fisheries Act "profit stripping" provision.	
Canada	2001	Burgess, Philip P&S Fisheries Ltd. (7)	Fisheries Act	Fishing in a prohibited area for a prohibited species.		Pacific Fishery Regulations – s.63	\$9,186		
Canada	2002	Vogelaar, John Franklin (7)	Fisheries Act	Fishing with prohibited gear for a prohibited species during a closed time.		Pacific Fishery Regulations - s.30	\$11,788	\$11,469 under s.79 of the Fisheries Act "profit stripping" provision.	
Canada	2003	Perry, Michael (7)	Coastal Fisheries Protection Act	Unlawful fishing for sedentary species – Crab.		CFPA - s.4	\$17,910	Forfeit catch.	
Canada	2004	Hudson, Lowell Ralph (7)	Fisheries Act	Had a lobster trap aboard his boat and set some in the water during closed season.		FA - s.25(1) Atlantic Fisheries Regulations s.57(1)(c)	5 months incarceration	Nine prior convictions under the Fisheries Act. Sentence upheld on appeal.	
Jamaica	2002	Jose Maguera (14)	Wildlife Protection Act; Aquaculture Inland and Marine Products and By-Products (Inspection Licensing & Export) Act	An Honduran vessel, the "Captain Hanks", was caught fishing illegally in Jamaican waters for conch and lobster. Jose Maguera was the captain. They were in possession of an endangered species - hawksbill turtle	2	WPA - s.6 possession of an endangered species; AIMPBA - operating a factory vessel.	\$23,100 and/or 6 months incarceration	Ordered the catch, equipment and vessel forfeited to the Crown.	
Jamaica	2002	Jason Olin (14)	Wildlife Protection Act	Jason Olin was the Chief Mate of the "Captain Hanks" which was caught in possession of an endangered species - a hawksbill turtle.		WPA - s.6 possession of an endangered species.	\$2,100 or six months incarceration		
New Zealand	2004	Neil Abraham Cleaver and four members of his family. (17)	Fisheries Act		63	Multiple charges under FA and Fisheries Regulations.	\$25,587	Court costs of \$1,395 and \$1,329 in solicitors' fees. Forfeit two fishing vessels.	
New Zealand	2005	Iakopo Faatau and Moevao Neti (17)	Fisheries Act	Selling 857.9 kilograms of shucked paua.	6 (3 each)	FA - s.233(1) obtain a benefit by knowingly acting in contravention of this act.	12 months incarceration	Proceeds, vehicle and the paua was forfeited to the Crown; 3 year fishing ban.	Guilty plea

South Africa	2005	Skipper of Dong Won 630 (23)	Not specified.	Illegal fishing, including "finning" of sharks, attempted bribery of a fisheries officer.	multiple		\$192,240	Suspended sentence – only \$8,010 of the fine imposed.	
United Kingdom	2000	Andrew John Williams (25)	Salmon and Freshwater Fisheries Act	Discovered with three salmon and two brown trout in there car and were soaking wet.		SFFA - s.32 handling salmon and trout in suspicious circumstances	4 months incarceration	Sentence will run concurrently with incarceration for unrelated offences.	Guilty plea.
United Kingdom	2004	Keith Evans (25)	Salmon and Freshwater Fisheries Act	Was caught with a large fish by officers, then tried to dispose of his rod and hook and escape on foot. He was caught.	2	SFFA s.1(1)(a)(iv) - using a snatch to take salmon or migratory trout; obstructing a constable in the execution of his duty.	\$825		Guilty plea.
United Kingdom	2004	John Frederick Harvey, Christopher Thomas Owens and Scott Peter Petrie (25)	Sea Fisheries Regulation Act	Fishing for shellfish at a time when the shellfish bed was temporarily closed under the local shellfish bylaws.	3	Breached the bylaw - closed season.	\$733	Prosecution costs ordered - \$109 each. Court ordered the forfeiture of 69lbs (31Kg) of cockles and cockling equipment.	Guilty plea.
United Kingdom	2005	Nigel Antony Boocock and Jason Moyser (25)	Salmon and Freshwater Fisheries Act	Caught fishing for freshwater fish during the closed season and with an illegal kind of bait - sprats.		Charges - fishing during the closed season; fishing with illegal bait.	\$1,002	Prosecution costs ordered - \$157 each.	
United Kingdom	2005	Calvin James Ottewell (25)	Salmon and Freshwater Fisheries Act	Caught using and possessing roe for fishing and then trying to dispose of the evidence by throwing it into the river.	3	SFFA s.21(1)(a)(b) - using and possessing trout/salmon roe for fishing; and obstructing a water bailiff.	\$557	Prosecution costs ordered - \$371	
United Kingdom	2005	Riverfield Fish Farm Ltd (25)	Salmon and Freshwater Fisheries Act	Attempted illegal introduction of farmed fish into Jubilee Lake and Long Lake at Thatcham, Berkshire	2	SFFA - s.30 attempting to introduce fish without a valid consent order.	\$9,724	Prosecution costs ordered - \$4,155	Guilty plea.
United Kingdom	2005	Timothy Mark Taylor and Nayra Del Rio Suarez (25)	Salmon and Freshwater Fisheries Act	Observed illegally retrieving one illegal net and one mullet and placing them in their car.	2	SFFA s.6 - illegal fishing with a net.	\$928	Prosecution costs ordered - \$349.	Found guilty in their absence.

Fishing Offences – Contravention of a License

Country	Date	Offender	Legislation	Nature of the Offence	Charges	Regulation Contravened	Sentence	Court Orders	Notes
Canada	1999	Vuozzo, Russell Keith (7)	Fisheries Act	Failure to comply with terms and conditions of their fishing license for Bluefin Tuna.		General Regulations - s.22(7)	\$2,020	Prosecution costs ordered - \$33	
Canada	1999	Bath, Otis (7)	Fisheries Act	Failure to comply with terms and conditions of their fishing license for Cod.		General Regulations - s.22(7)	\$10,663	\$10,326 under s. 79 of the Fisheries Act - "profit stripping"	
Canada	2000	Atwood, Gary (7)	Fisheries Act	Failure to comply with terms and conditions of their fishing license for Bluefin Tuna.		General Regulations - s.22(7)	\$1,347	Prosecution costs ordered - \$33	
Canada	2000	Cummings, Robert J. (7)	Fisheries Act	Failure to comply with terms and conditions of their fishing license for Scallop.		General Regulations - s.22(7)	\$8,053	Prosecution costs ordered - \$33	
Canada	2000	Mercer, Glenn (7)	Fisheries Act	Failure to comply with terms and conditions of their fishing license for Crab.		General Regulations - s.22(7)	\$673		
Canada	2001	Ellis, Craig A. (7)	Fisheries Act	Failure to comply with terms and conditions of their fishing license for Crab.	2	General Regulations - s.22(7)	\$12,922		
Canada	2001	Oates, Barry (7)	Fisheries Act	Failure to comply with terms and conditions of their fishing license for Skate.		General Regulations - s.22(7)	\$11,629	\$5,168 under s.79 of the Fisheries Act "profit stripping" provision.	
Canada	2001	Demolitor, Earl (7)	Fisheries Act	Failure to comply with terms and conditions of their fishing license for Swordfish - failed to hail 3 hrs before landing.		General Regulations - s.22(7)	\$516	Prosecution costs ordered - \$64	
Canada	2001	Covert, Timothy (7)	Fisheries Act	Fishing in a prohibited area without a license/permission.		Management of Contaminated Fisheries Regulations	\$775		

Canada	2002	Burnie, Roger (7)	Fisheries Act	Fishing in a prohibited area without a license/permission.		Management of Contaminated Fisheries Regulations	\$764		
Canada	2003	Hirtle, Cory Joseph (7)	Fisheries Act	Failure to comply with terms and conditions of their fishing license for Lobster - had mutilated lobsters.		General Regulations - s.22(7)	\$1,791		
Canada	2003	Goo Goo, Victor Isadore, Anthony Phillips, Colt (7)	Fisheries Act	Fishing in a prohibited area without a license/permission.		Management of Contaminated Fisheries Regulations	\$2,650		
Canada	2004	MacKay, Peter A. (7)	Fisheries Act	Failure to comply with terms and conditions of their fishing license for Crab.		General Regulations - s.22(7)	\$2,696		Guilty plea.
Canada	2004	Amiro, Patrick Clarence (7)	Fisheries Act	Failure to comply with terms and conditions of their fishing license for Groundfish - failed to obtain license conditions before fishing.		General Regulations - s.22(7)	\$3,851	\$77 costs.	Guilty plea.
Jamaica	2002	The 104 crewmembers of the "Captain Hanks" (14)	Fishing Industry Act and Regulations	The Captain Hanks is an Honduras vessel caught fishing illegally in Jamaican waters.		FIAR - fishing without a license; fishing lobster and conch in the closed season.		Each crewmember was fined \$21 or 30 days incarceration each for fishing without a license and \$11 or 10 days each for fishing out of season.	
New Zealand	2003	Vostochnaia Fishing (NZ) Ltd. (17)	Fisheries Act	Caught with rock lobster taken on the high sea for sale without a high seas permit.		FA - s.113D(1) taking fish for sale on the high seas without a permit.	\$5,244	Court costs ordered - \$75. Fishing vessel and the catch of rock lobster forfeited to the Crown.	Conviction
New Zealand	2003	Igor Karpenko, director of Vostochnaia Fishing Ltd. (17)	Fisheries Act	Caught with rock lobster taken on the high sea for sale without a high seas permit.		FA - s.113D(1) taking fish for sale on the high seas without a permit.	\$1,748	Court costs ordered - \$75.	Conviction.
New Zealand	2003	Pescatore Fishing Ltd (17)	Fisheries Act	Caught fishing on the high seas without a high seas permit.		FA - s.113D(1) taking fish for sale on the high seas without a permit.	\$7,130	Court costs of \$75. Forfeited the \$11,880 of proceeds.	Guilty plea.
New Zealand	2003	Anthony Martin, skipper of Pescatore Fishing. (17)	Fisheries Act	Caught fishing on the high seas without a high seas permit.		FA - s.113D(1) taking fish for sale on the high seas without a permit.	\$1,165		

New Zealand	2004	John Stewart Cliff (17)	Fisheries Act	Fished twice after the expiry of the vessel's permit and certificate of registration.	4	Not specified.	\$4,512	Court costs of \$130 on each count.	
United Kingdom	2005	Stephen Shone (17)	Salmon and Freshwater Fisheries Act	Was seen fishing at Offa's Dyke Fishery.		s.27(a) - fishing without a license.	\$371	Prosecution costs ordered - \$157	Plead guilty by post.
United Kingdom	2005	Clive Gumms (17)	Salmon and Freshwater Fisheries Act	Was seen fishing on 21 January.		s.27(a) - fishing without a license.	\$186	Prosecution costs ordered - \$83.	

Fishing Offences – Exceeding Limits/Quota

Country	Date	Offender	Legislation	Nature of the Offence	Charges	Regulation Contravened	Sentence	Court Orders	Notes
Australia (NSW)	2003	Individual Defendant (3)	Fisheries Management Act	Possessing illegally taken prawns (caught with a trawler net); over the limit.	2		\$2,220	Boat and trailer were seized.	
Australia (NSW)	2003	Two Individuals (3)	Fisheries Management Act	Caught in possession of 27 lobsters - limit is 2 per person. 23 of the 27 were undersized.	multiple		\$7,834	One defendant fined \$4,243; one defendant fined \$3,591.	
Australia (NSW)	2005	Individual Defendant (3)	Fisheries Management Act	Exceeding his possession limit for lobsters.			\$616		
Canada	2001	Devine, Barry G. (7)	Fisheries Act	Failure to comply with terms and conditions of their fishing license for Skate - exceeded quota.		General Regulations – s.22(7)	\$6,461		
Canada	2001	Meade, Wayne (7)	Fisheries Act	Failure to comply with terms and conditions of their fishing license for Cod and Skate - exceeded quota.		General Regulations – s.22(7)	\$6,461	\$3,230 under s.79 of the Fisheries Act "profit stripping" provision.	

Canada	2003	Manning, Darryl E. (7)	Fisheries Act	Failure to comply with terms and conditions of their fishing license for Crab – exceeded quota.		General Regulations – s.22(7)	\$716.40		
Canada	2003	Rideout, Ricky (7)	Fisheries Act	Failure to comply with terms and conditions of their fishing license for Crab - exceeded quota.		General Regulations - s.22(7)	\$1,432	Forfeiture of the proceeds of the illegal catch.	Fine reduced from \$4000 on appeal.
Canada	2003	Starkes, Corey (7)	Fisheries Act	Failure to comply with terms and conditions of their fishing license for Crab by exceeding quota.		General Regulations - s.22(7)	\$8,596	Guilty plea. Fine to be paid from proceeds of illegal catch. \$5,014 additional forfeiture.	
Canada	2004	Petten, Chesley (7)	Fisheries Act	Failure to comply with terms and conditions of their fishing license for Cod –exceeded quota.		General Regulations - s.22(7)	\$1,925		
Canada	2004	Stokes, Harold (7)	Fisheries Act	Failure to comply with terms and conditions of their fishing license for Cod - exceeded quota.		General Regulations - s.22(7)	\$1,925		
Canada	2004	Reid, Boyce (7)	Fisheries Act	Failure to comply with terms and conditions of their fishing license for Lobster by exceeding quota.		General Regulations - s.22(7)	\$770		Guilty plea.
Canada	2004	Fraser, Richard Bruce (7)	Fisheries Act	Failure to comply with terms and conditions of their fishing license for Crab - exceeded quota.		General Regulations - s.22(7)	\$2,310	\$77 in costs. 4 crab traps forfeited to the Crown.	Guilty plea. He had been warned prior to being charged.
New Zealand	2000	Kevin Wayne Abbott (17)	Fisheries Act	Fishing in one area - where he did not have a quota - then represented the catch as coming from his area.	2	FA - s.232 possession of illegally obtained fish; s.233 making a false statement in a fishing return.	\$1,832	A vehicle and a fishing vessel have been forfeited to the Crown.	Conviction.
New Zealand	2002	John Charles WAIRUA (17)	Fisheries Act	Caught and sold snapper outside the quota system.		FA - s.233(1) obtain a benefit by knowingly acting in contravention of this act.	\$1,860	Forfeited his fishing vessel, truck and portable chiller unit; Ordered to pay costs of \$302.	Guilty plea.
New Zealand	2004	Laulelei Tapui (17)	Fisheries Act	Found in possession of excess snapper, tried to throw the catch overboard and assaulted the officer.	3	Regulations - quota for snapper; obstructing a fisheries officer; assault.	\$1,289	Costs ordered - \$172. His fishing vessel and equipment were forfeited to the Crown.	

New Zealand	2004	Kata Finau (17)	Fisheries Act	Found in possession of excess snapper; threw some of the illegal catch overboard.	2	Regulations - quota for snapper; obstructing a fisheries officer.	\$2,256		
New Zealand	2004	Graeme Donald Peters and Michael Fabian Whatley (17)	Fisheries Act	Found with excess rock lobster taken using rock lobster "pots".	multiple	Regulations - possessing excess rock lobster taken unlawfully.		Peters - 200 hours community service; Whatley - 180 hours community service. One truck, two vessels and over 110 rock lobster forfeited to the Crown.	
New Zealand	2004	William Boyd Taverner (17)	Fisheries Act	In possession of 53 rock lobster and 603 scallops; daily individual quota is 6 and 20 respectively.		Regulations - daily individual quota of scallops/rock lobster.	\$1,418	Taverner's Nissan van, a 4.6 metre (15ft) fibreglass boat and dive gear were forfeited to the Crown.	Guilty plea.
New Zealand	2005	Peter Raymond Baird (17)	Fisheries Act	Possessioning 753 kg of paua and 391 kg of rock lobster outside the Quota Management System.	2	FA - s.232 possession of illegally obtained fish.	\$19,911		Conviction.
New Zealand	2005	Stephen John Goodhue (17)	Fisheries Act	In possession of 53 paua (more than five times the legal daily limit of 10) and an underwater breathing apparatus.	2	Fisheries (Amateur Fishing) Regulations - daily limit and prohibited fishing equipment.	\$1,138	Aluminium boat (\$2,133) plus dive gear worth approx \$1,420 was forfeited to the Crown.	Guilty plea
South Africa	2002	Hout Bay Fishing Industries (Pty) Ltd (21)	Marine Living Resources Act	Knowingly and intentionally participated in the over-fishing of south coast rock lobster, west coast rock lobster and hake.	28	Not specified.	Forfeiture	Forfeited the fishing vessel Sandalene and the contents of a refrigerated container. Costs of \$71,925 ordered.	

License Offences – Contravention of Terms/Conditions of a License

Country	Date	Offender	Legislation	Nature of the Offence	Charges	Regulation Contravened	Sentence	Court Orders	Notes
Australia (NSW)	2001	Energy Services International Pty Limited (1)	Environmentally Hazardous Chemicals Act	Unlawfully processed a prescribed chemical.	2	EHCA - s.25	\$46,638	Prosecution costs ordered - \$29,365.	

Australia (NSW)	2001	Metalcorp Recyclers Pty Limited (1)	Protection of the Environment Operations Act	Contravened the conditions of a license - offensive noise from explosions emitted from the premises.	7	POEO Act - s.64(1)	\$11,400	Prosecution costs ordered.	Judge reduced penalties because of cooperation.
Australia (NSW)	2001	Pasminco Cockle Creek Smelter Pty Limited (1)	Protection of the Environment Operations Act	Contravened the conditions of a license - discharged water with more than 0.006 milligrams per litre of mercury.		POEO Act - s.64(1)	\$17,101	Prosecution costs ordered.	
Australia (NSW)	2001	Port Kembla Copper Pty Ltd (1)	Protection of the Environment Operations Act	Contravened the conditions of a license - sulphur dioxide limit in emissions.	5	POEO Act - s.64(1)	\$60,111		
Australia (NSW)	2002	North Coast Plywood Products Pty Limited (1)	Protection of the Environment Operations Act	Contravened the conditions of a license - failed to maintain equipment; caused a leakage.		POEO Act - s.64(1)	\$8,162	Prosecution costs ordered - \$4,352	
Australia (NSW)	2002	Pasminco Broken Hill Mine Pty Ltd (1)	Protection of the Environment Operations Act	Contravened the conditions of a license - failed to monitor the ground level vibration caused by blasting operations.	2	POEO Act - s.64(1)	\$5,876	Prosecution costs ordered.	
Australia (NSW)	2003	Bellingen Shire Council (1)	Protection of the Environment Operations Act	Contravened the conditions of a license.		POEO Act - s.64(1)	\$9,792	Prosecution costs ordered \$5,222	
Australia (NSW)	2003	BHP Steel (AIS) Pty Limited (1)	Protection of the Environment Operations Act	Contravened the conditions of a license - water spray system to prevent the emission of coal dust.		POEO Act - s.64(1)	\$19,584	Prosecution costs. See Steepleton Pty Limited and Kenneth Warren Pannowitz	
Australia (NSW)	2003	Eco Cycle Materials Pty Limited & Maxwell John Scanlan (1)	Protection of the Environment Operations Act	"Crushing, grinding or separating works" without a license.		POEO Act - s.48(2) (s.169(1) makes Mr. Scanlan personally liable)	\$20,237	Prosecution costs ordered - \$5,875	
Australia (NSW)	2003	Lithgow City Council (1)	Protection of the Environment Operations Act	Contravened the conditions of a license - failed to perform a toxicity analysis and notify the prosecutor.		POEO Act - s.64(1)	\$3,917	Prosecution costs ordered.	

Australia (NSW)	2003	Lithgow Coal Company Pty Limited (1)	Protection of the Environment Operations Act	Contravened conditions of a license - did not undertake activities that will minimise the generation of emissions.		POEO Act - s.64(1)	\$19,584	Prosecution costs ordered - \$11,750	
Australia (NSW)	2003	Port Kembla Copper Pty Ltd (1)	Protection of the Environment Operations Act	Contravened the conditions of a license - condition of permission to develop was broken; increase of pollution/emissions.	3	POEO Act - s.64(1)	\$62,016	Prosecutions costs ordered - \$16,320.	
Australia (NSW)	2003	Rethmann Australia Environmental Services Pty Ltd (1)	Protection of the Environment Operations Act	Contravened the conditions of a license - failed to provide instructions to its employees dealing with odour incidents.	2	POEO Act - s.64(1)	\$45,696	Prosecution costs ordered.	
Australia (NSW)	2003	Shoalhaven Starches Pty Ltd (1)	Protection of the Environment Operations Act	Contravened the conditions of a license - failed to manage and maintain irrigation site; caused "pooling" of wastewater.	2	POEO Act - s.64(1)	\$104,448	Prosecution costs ordered - \$19,584.	
New Zealand	2000	Avis Property Developments (16)	Resource Management Act	Permitting premises, built for motel use, to be used for general residential accommodation.		RMA - s.338 Breach of an enforcement order.	\$7,784		
South Africa	2003	Mr Chris van Wyngaardt (22)	Meat Safety Act	Gave permission to slaughter animals at a facility which is not an approved abattoir.			\$2672 or 2 years incarceration	Suspended \$1,336 or 1 year for 5 years as this was his first offence.	
United Kingdom	2005	Ford Motor Co (25)		Operating a prescribed process, 53 Megawatt boiler house, without a permit.			\$29,696	Prosecution costs ordered - £,3,938	Guilty plea - Ford operated for two years

Obstruction of a Fisheries Officer

Country	Date	Offender	Legislation	Nature of the Offence	Charges	Regulation Contravened	Sentence	Court Orders	Notes
Canada	2004	Arsenault, Joseph Daniel (7)	Fisheries Act	Obstructing/hindering a fishery officer/inspector who is carrying out their duties.		FA - s.62	\$962		
Canada	2004	Landry, Clem (7)	Fisheries Act	Obstructing/hindering a fishery officer/inspector who is carrying out their duties.		FA - s.62	\$962		
Canada	2004	Buote, Cory (7)	Fisheries Act	Obstructing/hindering a fishery officer/inspector who is carrying out their duties.		FA - s.62	\$269		
Canada	2004	Parsons, Roger (7)	Fisheries Act	Obstructing/hindering a fishery officer/inspector who is carrying out their duties.		FA - s.62	\$577		Prior record

Pesticide Offences – Unlawful use of a Pesticide

Country	Date	Offender	Legislation	Nature of the Offence	Charges	Regulation Contravened	Sentence	Court Orders	Notes
Australia (NSW)	2001	Andrew Munro Miller (1)	Pesticides Act	Use of an unregistered pesticide.		PA - s.31(b)	\$2,250	Prosecution costs ordered.	
Australia (NSW)	2002	Le Dome Pty Limited (1)	Pesticides Act	Used a registered pesticide in contravention of an instruction on an approved label for the pesticide without being authorised to do so by a permit.		PA - s.15(1)	\$11,970	Prosecution costs ordered - \$5,441	

Australia (NSW)	2002	Rodney Scott Taylor (1)	Pesticides Act	Carelessly disregard an instruction on a label affixed to a container in which he knew or had reasonable cause to suspect the registered pesticide to have been.	3	PA - s.33	\$2,176		
Australia (NSW)	2003	Sanders & Associates Pty Limited (1)	Pesticides Act	Using a pesticide in a manner that damages or is likely to damage any property of another person.		PA - s.10(1)(b)	\$1,958	Prosecution costs ordered.	
Australia (NSW)	2004	Warren Charles Cupitt (1)	Pesticides Act	Using an unregistered pesticide without being authorised to do so by a permit.		PA - s.13	Conditional Sentence	Good behaviour bond - one year.	
United States	1999	Daniel J. Trehey (28)	Federal Insecticide, Fungicide and Rodenticide Act	Misapplied the restricted use pesticide Rid-a-Bird to an attic; it contains Fenthion which is a highly toxic.		Misuse of a registered pesticide	\$2,000	12 months probation.	Criminal prosecution. Guilty plea.
United States	1999	Glen Dee Taft (28)	Federal Insecticide, Fungicide and Rodenticide Act	Six applications of the pesticide without having obtained the certification. He also failed to notify a fish farm of this application, killing 44,000 fish.		FIFRA - 136j(a)(2)(G) and 136i(b)(1)(B) - knowing application of a restricted use pesticide not in accordance with its label	\$2,000 six months incarceration.	24 months probation.	Criminal prosecution. Guilty plea.
United States	2000	Lynne Harrison (28)	Federal Insecticide, Fungicide and Rodenticide Act	Tested products using the pest repellent DEET on over 300 people without informing the individuals.		FIFRA - 136j (a)(2)(P) - testing of pesticides on humans without informed consent	\$5,000		Criminal prosecution. Guilty plea.
United States	2002	Joseph Bernard LaFollette (28)	Federal Insecticide, Fungicide and Rodenticide Act	Used Carbofuran (Furandam) in a manner inconsistent with its labelling.		One count of violating FIFRA.	\$2,515	30 days home confinement; 12 months probation.	Criminal prosecution. Guilty plea.
United States	1998	Ruben Brown (28)	Federal Insecticide, Fungicide and Rodenticide Act	Sprayed thousands of homes, without a license, with a roach killer methyl parathion which is primarily used on cotton fields.	2	FIFRA - 136j(a)(2) and 136i(b)(1)(B) - misusing a restricted use pesticide	24 months incarceration	\$50 special assessment fee.	Criminal prosecution. Guilty plea.

Pollution – General Offences

Country	Date	Offender	Legislation	Nature of the Offence	Charges	Regulation Contravened	Sentence	Court Orders	Notes
Australia (NSW)	2003	Justin Andrew Barrett (1)	Protection of the Environment Operations Act	Negligently caused a substance to escape in a manner that harmed or was likely to harm the environment.		POEO Act - s.116	None	Good behaviour bond for a term of 2 years.	
Australia (NSW)	2003	Craig Rue Coggins (1)	Protection of the Environment Operations Act	Negligently caused a substance to escape in a manner that harmed or was likely to harm the environment.		POEO Act - s.116(1)	\$807	250 hours of community service. Prosecution costs ordered.	
Australia (NSW)	2003	Warringah Golf Club Limited (1) (5)	Protection of the Environment Operations Act	Negligently caused a substance to escape in a manner that harmed or was likely to harm the environment.		POEO Act - s.116(2)	\$195,840	Prosecution costs ordered - \$124,032	
Australia (NSW)	2005	Eljo PTY Ltd.and Solo Waste Aust. Pty Limited (1)	Protection of the Environment Operations Act	Joint holders of a license whose conditions they violated when a filter press grease trap malfunction causing a spill.		POEO Act - s.64(1)	\$8,860.75	Prosecution costs of \$5778 each and \$556 each for costs of a ecotoxicology test.	
India	1980	Ratlam Municipal Council (11)	Special Leave Petition No.2856 of 1979	An order to the Ratlam Municipal Council to do its duty toward the public by stopping the stench caused by open drains and public excretion.		Public nuisance under s.133 of Code of Criminal Procedure.	Court Order	Supreme Court order the Council to stop effluents from flowing into the stream; construct public latrines with a water supply; construct drains and fell up existing cess pools.	
India	1986	State of Rajasthan and Others (11)	Public Interest Litigation	The city of Jaipur was in a "sad sate of sanitation" and causing hazard to the health of its citizens.		Civil Writ Petitions No. 121 of 1986	Court Order	The High Court of Rajasthan directed the Municipal Corporation to remove filth and dirt and garbage within six months and clean the entire city.	
India	1997	Union of India (Calcutta Tanneries) (13)	Public Interest Litigation	Various tanneries were discharging highly noxious effluents, pollution land and rivers. Because of their			\$275 each Court Order	Supreme Court ordered all tanneries to be relocated or cease functioning; 25% down payment on the new land; \$275	

				location, there was no opportunity to modernize their operations or build a common treatment plant.				fine for each tannery; workmen employed by the tanneries will continue to be employed and paid during relocation.	
India	1995	State of Gujarat (13)	Public Interest Litigation	Gujarat Pollution Control Board had neglected its duties towards citizens by allowing 756 industrial units to pollute the land and water.		Art. 21 of the Constitution of India.	Fine and Court Orders	Ordered Gujarat to close highly polluting units; shut off utilities to remaining units to ensure compliance; lay pipes/drains (paid by polluters); fine 1% of company's gross turnover for socio-economic project.	
New Zealand	1995	Pollock (16)	Resource Management Act	Discharge of untreated cowshed effluent, 300 cows, continuing offence.		RMA - s.15 Discharge of contaminants into the environment.	\$11,821		
United Kingdom	2005	Southern Water (25)	Not specified.	Corroded pipe caused 17,000 litres of diesel to be lost into the ground.			\$12,992	Prosecution costs ordered - \$8,871	
United States	1998	US Oil (30)	Not specified.	Crew member left crossover valve open during blending - 256 tonnes spilt.			\$30,000		
United States	1998	Lakehead pipeline (30)	Not specified.	Third-party excavator punctured pipeline - 816 tonnes of crude spilt.			\$750,000		

Pollution – Air

Country	Date	Offender	Legislation	Nature of the Offence	Charges	Regulation Contravened	Sentence	Court Orders	Notes
Australia (NSW)	2002	Illawarra Coke Company Pty Limited (1)	Protection of the Environment Operations Act	Caused the emission of an offensive odour from occupied premises at which a scheduled activity was carried on under authority of a licence.	3	POEO Act - s.129(1)	\$38,087	Prosecution costs ordered - \$13,602	

Australia (NSW)	2002	Nationwide Oil Pty Limited (1)	Protection of the Environment Operations Act	Caused the emission of an offensive odour from occupied premises at which a scheduled activity was carried on under authority of a licence.	2	POEO Act - s.129(1)	43,528	Prosecution costs - \$9,249	
Australia (NSW)	2003	Bruce Panucci Transport Pty Ltd	Protection of the Environment Operations Act	Owner of vehicle and permitting its use when it emitted excessive air impurities.		Clean Air (Motor Vehicles and Motor Vehicle Fuels) Regulation	\$10,444	Prosecution costs ordered - \$8,160	
Australia (NSW)	2003	Burrangong Meat Processors Pty Ltd (1)	Protection of the Environment Operations Act	Contravened the conditions of a license - overloading the effluent treatment system; causing the emission of an offensive odour.	4	POEO Act - s.64(1); s.129(1) three counts	\$38,434	Prosecution costs of \$14,035.	
Australia (NSW)	2004	BlueScope Steel (1)	Protection of the Environment Operations Act	Contravened the conditions of a license by failing to maintain and keep electrical circuits/batteries resulting in air pollution.		POEO Act - s.64(1)	\$51,611	Prosecution costs ordered.	Guilty plea
Australia (NSW)	2004	Cargill Australia Limited (1)	Protection of the Environment Operations Act	Caused the emission of an offensive odour from occupied premises at which a scheduled activity (livestock slaughtering rendering plant) was carried on under authority of a licence.		POEO Act - s.129(1)	\$23,594	A court order to carry out of the planting of at least 4,500 trees. Prosecution costs ordered - \$29,492.	Guilty plea
Australia (NSW)	2004	Yolarno Pty Limited (1)	Protection of the Environment Operations Act	Failed to deal with materials that could cause air pollution in an a proper and efficient manner - caused air pollution in the operation of a new abattoir.		POEO Act - s.126	\$22,119	Ordered to carry out a restoration project. Prosecution costs ordered.	
Canada	2001	Banff Centre (8)	Canadian Environmental Protection Act	Exceeded quantity of chlorobiphenyls that may be released into the environment. Failure to comply with PCB regulations.		Chlorobiphenyls Regulation and Storage of PCB Material	\$24,339	An undetermined portion of the fine is to be used to develop a course to be put on by the Banff Centre for handling and disposal of hazardous wastes. If all \$24,339 is used, the fine will be \$1.	

India	1987	Shriram Food and Fertilizer Industries (11)	Public Interest Litigation	Public interest litigation seeking the closing of a caustic chlorine plant after the leakage of oleum gas resulted in one death and many injuries.		Writ Petition No. 12739 of 1985 and No. 26 of 1986.	Court Order	The Supreme Court allowed the plan to restart if it adhered to 11 conditions including a security payment of \$63,600 and a bond guarantee of \$47,700.	
India	1998	Union of India (12)	Public Interest Litigation	Air pollution in Delhi caused by heavy vehicles including trucks, buses and defence vehicles.		Writ Petition No. 13029 of 1985.	Court Order	The Supreme Court ordered that all buses in the city be converted from diesel to compressed natural gas by March 31st 2001.	
India	1997	Union of India (Taj Trapezium Case) (13)	Public Interest Litigation	Emissions generated by the coke/coal consuming industries are air pollutants and have a damaging effect on the Taj Mahal and surrounding area.			Court Order	Ordered 292 industries to apply and obtain natural gas connections; if they are not able to - for whatever reason - they must stop using coke/coal and may relocate as per directions.	
New Zealand	1995	Tasman Pulp & Paper Co Ltd (16)	Resource Management Act	Excessive emissions to air from pulp mill at Kawerau.	3	RMA - s.15 Discharge of contaminants into the environment.	\$39,402		
New Zealand	2003	Nuplex Industries - owns United Environmental (16)	Resource Management Act	Chlorine fumes had discharged from the site as a result of a reaction in a waste treatment process.		RMA - s.15 Discharge of contaminants into the environment.	\$32,049	Costs ordered - \$6,409; include details of the offence in their annual report; include environmental issues in their board meetings for 24 months.	
New Zealand	2004	United Environmental (16)	Resource Management Act	Chlorine fumes had discharged from the site as a result of a reaction in a waste treatment process		RMA - s.15 Discharge of contaminants into the environment.	\$24,495	Court costs of \$1,329 ordered.	Guilty plea.
United Kingdom	2005	Rhodia Eco Services Limited (25)	Not specified.	A chlorine leak at the company's site - failing to ensure that suitably experienced, trained and supervised people carried out the process that led to the leak; failure to ensure the safety of its workers and the public.	3	Not specified - one charge brought by the Environmental Agency and two by Health and Safety Executive.	\$185,600	Prosecution costs ordered - \$35,264	Guilty plea.
United States	1998	Surpass Chemical Company (28)	Clean Air Act	A ruptured tank at their facility caused the release of a cloud of chlorine gas and		CAA s.7413(c)(4) - any person who negligently releases into the ambient air any hazardous	\$105,000		Criminal prosecution. Guilty plea.

				2400 gallons of hydrochloric acid.		air pollutant.			
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Pollution – Marine

Country	Date	Offender	Legislation	Nature of the Offence	Charges	Regulation Contravened	Sentence	Court Orders	Notes
Australia (Commonwealth)	1997	Paola II (vessel) (2)	The Marine Pollution Act	Illegal discharge of oil.		MPA - discharge of oil into coastal waters prohibited.	\$5,205		
Australia (NSW)	1997	Pymont Bridge (vessel) (2)	The Marine Pollution Act	Illegal discharge of oil - 150 litres.		MPA - discharge of oil into coastal waters prohibited.	\$37,180		
Australia (NSW)	1997	Anro Australia (vessel) (2)	The Marine Pollution Act	Illegal discharge of oil - 1000 litres.		MPA - discharge of oil into coastal waters prohibited.	\$81,796		
Australia (NSW)	1997	Palmstar Rose (2)	The Marine Pollution Act	Illegal discharge of oil - 40 litres		MPA - discharge of oil into coastal waters prohibited.	\$29,744		
Australia (NSW)	1998	Hegg (vessel) (2)	The Marine Pollution Act	Illegal discharge of oil.		MPA - discharge of oil into coastal waters prohibited.	\$47,235		
Australia (NSW)	1998	Kareliya (vessel) (2)	The Marine Pollution Act	Illegal discharge of oil.		MPA - discharge of oil into coastal waters prohibited.	\$37,788		
Australia (NSW)	1998	Stolt Otome (vessel) (2)	The Marine Pollution Act	Illegal discharge of oil - 40 litres.		MPA - discharge of oil into coastal waters prohibited	\$25,192		

Australia (NSW)	1998	Kokopo Chief (vessel) (2)	The Marine Pollution Act	Illegal discharge of oil - 150 litres.		MPA - discharge of oil into coastal waters prohibited.	\$38,418		
Australia (NSW)	1999	Asian Glory (vessel) (2)	The Marine Pollution Act	Illegal discharge of oil - 50 litres.		MPA - discharge of oil into coastal waters prohibited.	\$64,570		
Australia (QLD)	1999	Trinity Bay (2)	The Marine Pollution Act	Illegal discharge of oil - 18,000 litres		MPA - discharge of oil into coastal waters prohibited.	\$47,138		
Australia (QLD)	1999	Perna Amang (2)	The Marine Pollution Act	Illegal discharge of oil - 45 litres.		MPA - discharge of oil into coastal waters prohibited.	\$13,094		
Australia (QLD)	1999	Rexandra (fishing vessel) (2)	The Marine Pollution Act	Illegal discharge of oil - 190 litres.		MPA - discharge of oil into coastal waters prohibited.	\$13,094		
Australia (VIC)	1997	Capitaine Cook (vessel) (2)	The Marine Pollution Act	Illegal discharge of oil - 2500 litres.		MPA - discharge of oil into coastal waters prohibited.	\$4,833		
Australia (VIC)	1997	Lijnsbaansgracht (vessel) (2)	The Marine Pollution Act	Illegal discharge of oil - 10 litres.		MPA - discharge of oil into coastal waters prohibited.	\$31,231		
Australia (VIC)	1998	Providence (vessel) (2)	The Marine Pollution Act	Illegal discharge of oil - 10 litres.		MPA - discharge of oil into coastal waters prohibited.	\$4,094		
Australia (VIC)	1998	Capitaine Fern (vessel) (2)	The Marine Pollution Act	Illegal discharge of oil.		MPA - discharge of oil into coastal waters prohibited.	\$25,192		
Australia (VIC)	1998	Alam Tenega (vessel) (2)	The Marine Pollution Act	Illegal discharge of oil - 500 litres.		MPA - discharge of oil into coastal waters prohibited.	\$7,873		
Australia (VIC)	1999	Alam Teneram (vessel) (2)	The Marine Pollution Act	Illegal discharge of oil.		MPA - discharge of oil into coastal waters prohibited.	\$9,686	Fine split between the owner of the boat and its master.	

Canada	2000	Barbara Louise (8)	Canada Shipping Act	Illegal discharge of oil - 375 Litres		Oil Pollution Prevention Regulations	\$8,087		
Canada	2000	Atlantic Hawk (8)	Canada Shipping Act	Illegal discharge of oil - 5 litres		Oil Pollution Prevention Regulations	\$3,370		
Canada	2000	Rio Cuyaguajeje (8)	Canada Shipping Act	Small amount of diesel.		Oil Pollution Prevention Regulations	\$4,380		
Canada	2000	Trenchsetter (8)	Canada Shipping Act	Small amount of diesel.		Oil Pollution Prevention Regulations	\$1,685		
Canada	2000	Med Taipei (8)	Canada Shipping Act	Aerial detection by National Department of Defence - illegal discharge of 778 litres of oil.		Oil Pollution Prevention Regulations	\$20,217		
Canada	2000	Chokyu Maru (8)	Canada Shipping Act	Illegal discharge of oil - 159 litres.		Oil Pollution Prevention Regulations	\$6,739		
Canada	2000	Orvar (8)	Canada Shipping Act	Illegal discharge of oil - 50 litres.		Oil Pollution Prevention Regulations	\$4,043		
Canada	2000	Nordholt (8)	Canada Shipping Act	Aerial detection by coast guard. Illegal discharge of oil - 15 litres.		Oil Pollution Prevention Regulations	\$23,587		
Canada	2000	Polar Duke (8)	Canada Shipping Act	Illegal discharge of oil - 2.6 litres.		Oil Pollution Prevention Regulations	\$13,478		
Canada	2000	Solborg (8)	Canada Shipping Act	Illegal discharge of oil - 50 litres.		Oil Pollution Prevention Regulations	\$5,054		
Canada	2000	Ocean Castle (8)	Canada Shipping Act	Illegal discharge of oil - 100 litres.		Oil Pollution Prevention Regulations	\$6,739		

Canada	2000	Riverton (8)	Canada Shipping Act	Illegal discharge of oil - 155 litres.		Oil Pollution Prevention Regulations	\$3,370		
Canada	2000	Sauniere (8)	Canada Shipping Act	Illegal discharge of oil - 37 litres.		Oil Pollution Prevention Regulations	\$6,739		
Canada	2000	Orient Tiger (8)	Canada Shipping Act	Illegal discharge of oil - 2000 litres.		Oil Pollution Prevention Regulations	\$5,728		
Canada	2000	Geco Sigma (8)	Canada Shipping Act	Illegal discharge of oil - 15 litres.		Oil Pollution Prevention Regulations	\$3,370		
Canada	2001	Oak Maritime (Canada) Inc (8)	Migratory Bird Convention Act	Surveillance flight observed the vessel trailing 7 kilometre long oil slick oil off the south coast of Newfoundland in waters heavily used by wintering sea bird populations.		MBCA - s.35(1)	\$19,706		Offence brought under the MBCA rather than the Canadian Shipping Act.
Canada	2001	Eirik Raude (Oil Rig) (8)	Canada Shipping Act	Illegal discharge of oil; failure to carry IOPP certificate and failure to carry ORB.		Oil Pollution Prevention Regulations	\$12,922		
Canada	2001	Olympic Melody (8)	Canada Shipping Act	Illegal discharge of oil - 290 litres.		Oil Pollution Prevention Regulations	\$16,153		
Canada	2001	Kent Express (8)	Canada Shipping Act	Illegal discharge of oil - 15 litres.		Oil Pollution Prevention Regulations	\$6,461		
Canada	2001	Endurance (8)	Canada Shipping Act	Illegal discharge of oil - 1,200 litres.		Oil Pollution Prevention Regulations	\$22,614		
Canada	2001	Atlantic Birch (8)	Canada Shipping Act	Illegal discharge of oil - 55 litres.		Oil Pollution Prevention Regulations	\$6,461		

Canada	2001	Mariel (8)	Canada Shipping Act	Illegal discharge of oil - 1,500 litres.		Oil Pollution Prevention Regulations	\$6,461		
Canada	2001	Tahkuna (8)	Canada Shipping Act	Illegal discharge of oil - 1,000 litres.		Oil Pollution Prevention Regulations	\$12,922		
Canada	2001	Sandviken (8)	Canada Shipping Act	Illegal discharge of oil - 2,400 litres.		Oil Pollution Prevention Regulations	\$25,844		
Canada	2001	Taurus (8)	Canada Shipping Act	Illegal discharge of oil - 100 litres.		Oil Pollution Prevention Regulations	\$7,753		
Canada	2001	Leonis (8)	Canada Shipping Act	Illegal discharge of oil - 200 litres.		Oil Pollution Prevention Regulations	\$6,461		
Canada	2001	Donau Ore (8)	Migratory Bird Convention Act	Illegal discharge of oil.			\$22,937		
Canada	2001	F/V Never Satisfied (8)	Canada Shipping Act	Illegal discharge of oil - amount undetermined.		Oil Pollution Prevention Regulations	\$1,615		
Canada	2001	Chokyu Maru 28 (8)	Canada Shipping Act	Illegal discharge of oil - 30 litres.		Oil Pollution Prevention Regulations	\$4,846		
Canada	2001	Ocean Foxtrot (8)	Canada Shipping Act	Illegal discharge of oil - 15 litres.		Oil Pollution Prevention Regulations	\$6,461		
Canada	2002	Fujisei Maru (8)	Canada Shipping Act	Illegal discharge of oil - 25 litres.		Oil Pollution Prevention Regulations	\$4,779		
Canada	2002	CSL Atlas (8)	Canada Shipping Act	Illegal discharge of oil - 92 litres.		Oil Pollution Prevention Regulations	\$79,650		

Canada	2002	Anyo Maru (8)	Canada Shipping Act	Illegal discharge of oil - amount undetermined.		Oil Pollution Prevention Regulations	\$3,186		
Canada	2002	Maersk Gabarus (8)	Canada Shipping Act	Illegal discharge of oil - 40 litres.		Oil Pollution Prevention Regulations	\$3,186		
Canada	2002	EBN Al Waleed (8)	Canada Shipping Act	Illegal discharge of oil - amount undetermined.		Oil Pollution Prevention Regulations	\$9,558		
Canada	2002	MV Scarab (8)	Canada Shipping Act	Illegal discharge of oil - 380 litres.		Oil Pollution Prevention Regulations	\$28,674		
Canada	2003	F/V Tide Runner (8)	Canada Shipping Act	Illegal discharge of oil - 500 litres.		Oil Pollution Prevention Regulations	\$7,164		
Canada	2003	MV Cala Palamos (8)	Canada Shipping Act	Illegal discharge of oil and failure to report pollution incident - 4300 litres.		Oil Pollution Prevention Regulations	\$71,640		
Canada	2004	Olga, Fishing Vessel (8)	Canadian Shipping Act	Russian vessel discharged oil into waters under Canadian jurisdiction.		Oil Pollution Prevention Regulations	\$115,545		
Canada	2004	Olga (8)	Canadian Shipping Act	Russian vessel discharged oil into waters under Canadian jurisdiction.		Oil Pollution Regulations	\$77,030		
Canada	2004	Shinei Maru No.85 (8)	Canada Shipping Act	Illegal discharge of oil - 10,000 litres.		Oil Pollution Prevention Regulations	\$61,624		
Canada	2004	Pleasure Craft #15A2597 (8)	Canada Shipping Act	Gasoline leak in bilge/ dangerous operation.		Oil Pollution Prevention Regulations	\$308		
Canada	2004	Atlantic Poplar (8)	Canada Shipping Act	Illegal discharge of garbage.			\$2,311		

Canada	2004	Alexandritovy (M/V) (8)	Canada Shipping Act	Illegal discharge of oil; failure to report a pollution incident.	2	Oil Pollution Prevention Regulations	\$26,961		
Canada	2004	DSV West Navion (8)	Canada Shipping Act	Illegal discharge of oil - 277 litres.		Oil Pollution Prevention Regulations	\$46,218		
Canada	2004	M/V Retriever (tug) (8)	Canada Shipping Act	Illegal discharge of oil - amount undetermined,		Oil Pollution Prevention Regulations	\$5,777		
Canada	2005	WHALER (small vessel) (8)	Canada Shipping Act	Illegal discharge of pollutant - approx. 20 litres.		Oil Pollution Prevention Regulations	\$3,245		
Canada	2005	Norman McLeod (Oil Barge) (8)	Canada Shipping Act	Illegal discharge of pollutant - approx. 10 litres.		Oil Pollution Prevention Regulations	\$12,170		
Canada	2005	Etendard (F/V) (8)	Canada Shipping Act	Illegal discharge of garbage.		Oil Pollution Prevention Regulations	\$2,434		
Canada	2005	Irving Eskimo (8)	Canada Shipping Act	Illegal discharge of pollutant - amount not determined.		Oil Pollution Prevention Regulations	\$12,170		
Canada	2005	Hime Maru No.38 (8)	Canada Shipping Act	Illegal discharge of oil; Master charged for ORB violations and for failure to report pollution incident.		Oil Pollution Prevention Regulations	\$48,678		
Mauritius	1997	M/V Fas Columbo (15)	Ports Act			Not specified - oil pollution	15,655		
Mauritius	1997	M/V Cape Flower (15)	Ports Act			Not specified - oil pollution	6,491		
Mauritius	1997	M/V Cisne Verde (15)	Ports Act			Not specified - oil pollution	1,071		

New Zealand	1999	Won Fisheries Co Ltd (16)	Resource Management Act	Discharge of diesel, hydraulic oil from commercial fishing ship into CMA.		RMA - s.15B Discharge of harmful substances from ships or offshore installations.	\$10,592	Ship grounded.	Prosecution brought by Maritime Safety Authority.
New Zealand	2000	Thalassic Steamship Agency Inc (16)	Resource Management Act	Discharge of petroleum, from ship into Whangarei Harbour; carelessness and inadequate maintenance cited as cause.	multiple	RMA - s.15 Discharge of contaminants into the environment.	\$9,616		
New Zealand	2000	Panela Corporation & Captain H Ferentinos (16)	Resource Management Act	Discharge of petroleum, from ship into Whangarei Harbour; carelessness and inadequate maintenance cited as cause.	multiple	RMA - s.15 Discharge of contaminants into the environment.	\$19,232		
New Zealand	2001	Beluga Shipping GMBH (16)	Resource Management Act	Discharge of oil to harbour while transferring oil to ship.		RMA - s.15 Discharge of contaminants into the environment.	\$6,318		
Singapore	1997	Dong Sheng Tankers Pte - owners of Song San (19)	Prevention of Pollution Sea Act	Song San spilled 1,201 tonnes of slop oil in the Singapore Strait in August 1996. The oil stranded over extensive areas of Singapore's water and beaches.	multiple	Not specified - oil pollution offence; also faced 124 charges of failing to record oil operations - 10 of these were proceeded against.	\$269,960		Guilty plea
Singapore	1997	Captain Brendon Allan Monteiro - master of Song San (19)	Prevention of Pollution Sea Act	Song San spilled 1,201 tonnes of slop oil in the Singapore Strait in August 1996. The oil stranded over extensive areas of Singapore's water and beaches.	multiple	Not specified - oil pollution offence; also faced 10 charges of failing to record oil operations; one charge of misleading investigators.	\$269,960 5 1/2 months incarceration.	3 months imprisonment on oil spill charge. 10 months total on record charges, but each to run consecutively; 2 weeks on misleading investigators.	Guilty plea.
Singapore	1997	Ocean Tankers Pte. Ltd - agents of Song San (19)	Prevention of Pollution Sea Act	Song San spilled 1,201 tonnes of slop oil in the Singapore Strait in August 1996. The oil stranded over extensive areas of Singapore's water and beaches.	1	Oil pollution offence.	\$269,960		Guilty plea.
Singapore	1997	T/V Evoikos (19)	Prevention of Pollution Sea Act	Collision with speeding empty tanker (Orapin Global).		Oil pollution offence.	\$36,600	3 months incarceration for Evoikos captain.	

Singapore	1997	Orapin Global (19)	Prevention of Pollution Sea Act	Collision with tanker T/V Evoikos.		Oil pollution offence.	\$6,700	2 months incarceration for Orapin Global captain.	
Singapore	1998	T/V Ocean Gurnard (20)	Prevention of Pollution Sea Act	Grounding on rock outside shipping lanes - 400 tonnes of marine gas oil spilled.		Oil pollution offence.	\$725	\$580 for third officer; \$145 for master.	
United Kingdom	1998	Klyne Tugs, Ltd. - owners of Anglian Duke (24)	Merchant Shipping Act	Oil spill caused by faulty oily water separator and faulty wiring on the alarm system.		Merchant Shipping (Prevention of Oil Pollution) Regulations	\$41,425	Prosecution costs ordered - \$3,529	Fined reduced from \$57,995 on appeal.
United Kingdom	1998	P&O Nedloydm - owners of carrier Resolution Bay (24)	Merchant Shipping Act	Spotted by Dutch surveillance aircraft trailing an 80-km oil slick.		Merchant Shipping (Prevention of Oil Pollution) Regulations	\$28,169	Prosecution costs ordered - \$3,314	
United Kingdom	1998	John H. Whitaker Tankers - owners of Whitstar and Whitspray (24)	Merchant Shipping Act	Two separate oil spills off the coast of Kent.	2	Merchant Shipping (Prevention of Oil Pollution) Regulations	\$33,140	Prosecution costs ordered - \$9,411	
United Kingdom	1998	T/V Havrim (27)	Merchant Shipping Act	Illegal discharge of 3 tonnes of waste oil.		Merchant Shipping (Prevention of Oil Pollution) Regulations	\$56,338		
United Kingdom	1999	Seaside Shipping Ltd - owners of Sirte Star (25)	Merchant Shipping Act	Caught spilling oil 40 km off the Norfolk, UK, coast - oil slick 4.8 km long. Evidence was led that the engine room bilges had been dumped.		Merchant Shipping (Prevention of Oil Pollution) Regulations	\$40,425	Prosecution costs ordered - \$1,924	
United Kingdom	1999	Johnson Sea Enterprises - owners of Liliane J (25)	Merchant Shipping Act	Spotted by a Dutch surveillance aircraft discharging an oily mixture into the sea. The slick measured approximately 9 km.		Merchant Shipping (Prevention of Oil Pollution) Regulations	\$14,553	Prosecution costs ordered - \$1,552	
United Kingdom	1999	Lindos Shipping Co. - owners of carrier Luckyman (24)	Merchant Shipping Act	Spotted by Dutch surveillance aircraft trailing a 30-km oil slick.		Merchant Shipping (Prevention of Oil Pollution) Regulations	\$12,128	Prosecution costs ordered - \$1,552	
United States	1998	Anax International Agencies of	Oil Pollution Act; Endangered Species Act;	Discharged 175 tonnes of oil off California. The discharge created a 24-km oil slick.	multiple	Multiple criminal charges resulting, including negligent discharge of oil.	\$2,463,475	\$1,231,738 for the costs of cleanup and investigation; fine will go into the Oil Spill	

		Greece - owner/operator of T/V Command (29)	National Marine Sanctuaries Act	Over 180 birds, including brown pelicans, an endangered species, were killed as a result of oiling. Two marine sanctuaries were affected.				Liability Trust Fund; \$5.5 million for rehabilitation and restoration; \$200,000 to the Endangered Species Reward Fund.	
United States	1999	Holland America Lines (29)	Oil Pollution Act	Spilled 19 litres of diesel fuel into the harbour at Juneau, Alaska			\$250		
United States	1993	Frances Hammer (29)	Act to Prevent Pollution From Ships	An estimated 204 tonnes of oily waste (slop) were dumped en route from Europe to Florida.		Intentional illegal oil discharge on the high seas	\$50,000	Two years probation; \$200,000 in restitution; issue a public apology.	First federal prosecution for pollution on the high seas.
United States	1998	M/V Anadyr (30)	Not specified.	Two tanks overflowed during port bunkering operations. 26 tonnes.		13 violations of bunkering rules.	\$182,000		
United States	1998	T/V Command (30)	Not specified.	Alleged bunker discharge - 175 tonnes.			\$1,500,000	\$750,000 fine for the owner; \$750,000 fine for the captain.	
United States	1999	Noordma (cruise ship) (30)	Not specified.	Illegal discharge due to fuel line leak - 0.02 tonnes.			\$250	\$250 fine.	

Pollution – Water

Country	Date	Offender	Legislation	Nature of the Offence	Charges	Regulation Contravened	Sentence	Court Orders	Notes
Australia (NSW)	2001	BHP Steel (AIS) Pty Limited (1)	Protection of the Environment Operations Act	Water pollution - condensate from a gas pipe which ultimately was discharged into Allen's Creek.		POEO Act - s.120(1)	\$31,092	Prosecution costs ordered.	
Australia (NSW)	2001	Boral Resources (QLD) Pty Limited (1)	Clean Waters Act	Polluted waters with liquid wastes with a pH of 10.8		CWA - s.16(1)	\$2,591	Prosecution costs ordered.	

Australia (NSW)	2001	Byron Shire Council (1)	Protection of the Environment Operations Act	Water pollution - collapsed wall caused 870 m3 of leachate with approximately 22 m3 of sediment to escape.		POEO Act - s.120(1)	\$31,092	Prosecution costs ordered - \$2,098	
Australia (NSW)	2001	CSR Ltd trading as CSR Woodpanels (1)	Protection of the Environment Operations Act	Willfully or negligently caused effluent from a manufacturing plant to leak.		POEO Act - s.6(1)	\$124,368	Prosecution costs ordered - \$51,820	
Australia (NSW)	2001	Johnson and Johnson Pacific Pty Ltd (1)	Protection of the Environment Operations Act	Water pollution - liquid waste with a ph level over 8.5 overflowed a storm drain.		POEO Act - s.120(2)	\$13,214	Prosecution costs ordered - \$2850	
Australia (NSW)	2001	Port Kembla Copper Pty Ltd (1)	Protection of the Environment Operations Act	Water pollution - discharging seawater mixed with sulphuric acid having an approximate pH value of 3.		POEO Act - s.120(1)	\$17,619	Prosecution costs ordered.	
Australia (NSW)	2001	Simplot Australia Pty Ltd (1)	Protection of the Environment Operations Act	Water pollution - food waste.	2	POEO Act - s.120(1)	\$31,092	Prosecution costs ordered - \$8,550	Two separate incidents heard together.
Australia (NSW)	2001	Supreme Poultry & Chickens Pty Ltd (1)	Protection of the Environment Operations Act	Water pollution - liquid waste from processing chickens.	3	POEO Act - s.120(1)	\$35,238	Prosecution costs ordered - \$3238	
Australia (NSW)	2001	Timber Industries Ltd (1)	Protection of the Environment Operations Act	Water pollution - waste water from a timber mill.		POEO Act - s.120(1)	\$10,364	Prosecution costs ordered.	
Australia (NSW)	2001	Transfield Pty Ltd (1)	Protection of the Environment Operations Act	Water pollution - organic material and suspended solids.		POEO Act - s.120(1)	\$6,478	Prosecution costs ordered.	
Australia (NSW)	2002	Boral Resources (NSW) Pty Ltd (1)	Protection of the Environment Operations Act	Water pollution - waste water from a concrete batching plant.		POEO Act - s.120(1)	\$10,882	Prosecution costs ordered.	
Australia (NSW)	2002	Collex Pty Ltd (1)	Protection of the Environment Operations Act	Water pollution - waste water from a landfill site.		POEO Act - s.120(1)	\$13,330	Prosecution costs ordered - \$5168.	
Australia (NSW)	2002	Duke Eastern Gas Pipeline Pty Limited (1)	Protection of the Environment Operations Act	Water pollution - sediment erosion from a construction site.		POEO Act - s.120(1)	\$13,603	Prosecution costs ordered.	

Australia (NSW)	2002	Ecolab Pty Limited (1)	Protection of the Environment Operations Act	Water pollution - 500 to 600 liters of bleach into a storm drain.		POEO Act - s.120(1)	\$16,323	Prosecution costs ordered - \$6,529.	
Australia (NSW)	2002	Excel Fuels Pty Limited (1)	Protection of the Environment Operations Act	Water pollution - approx 400 liters of diesel fuel.		POEO Act - s.120(1)	\$13,603	Prosecution costs ordered.	
Australia (NSW)	2002	Hy-Tec Industries Pty Limited (1)	Protection of the Environment Operations Act	Water pollution - some 1,625 to 3,250 liters of waste waters from concrete batching plant.		POEO Act - s.120(1)	\$13,603	Prosecution costs ordered.	
Australia (NSW)	2002	Pancorp Australia Pty Limited (1)	Protection of the Environment Operations Act	Water pollution - pesticide spray.	3	POEO Act - s.120(1)	\$17,411	Prosecution costs ordered.	
Australia (NSW)	2002	Rail Infrastructure Corporation (1)	Protection of the Environment Operations Act	Water pollution - pesticide spray.	3	POEO Act - s.120(1)	\$17,411	Prosecution costs ordered.	See Pancorp Australia Pty Limited
Australia (NSW)	2002	The Crown in the right of New South Wales (1)	Protection of the Environment Operations Act	Water pollution - partially treated effluent from the STP		POEO Act - s.120(1)	\$10,882	Prosecution costs ordered - \$16,323	
Australia (NSW)	2002	Ulan Coal Mines Ltd (1)	Protection of the Environment Operations Act	Water pollution - approx 20 tonnes of coal fines.		POEO Act - s.120(1)	\$8,162	Prosecution costs ordered - \$4080	
Australia (NSW)	2002	Virotec International Limited (1)	Protection of the Environment Operations Act	Water pollution - run-off waste water from rock mining operation.		POEO Act - s.120(1)	\$16,323	Prosecution costs ordered.	
Australia (NSW)	2003	Abigroup Contractors Pty Limited (1)	Protection of the Environment Operations Act	Water pollution - wastewater containing ammonia, phosphorus and nitrogen ("the wastewater") to be pumped to a position where the pollutant descended or was likely to descend into a waterway.		POEO Act - s.120(1)	\$24,480	\$10,444 prosecution costs.	
Australia (NSW)	2003	Byron Shire Council (1)	Protection of the Environment Operations Act	Contaminated water was discharged from the Council's water treatment plant.		POEO Act - s.120	\$19,584	Prosecution costs ordered. Publication order.	Guilty plea

Australia (NSW)	2003	David Richard Collis (1)	Protection of the Environment Operations Act	Water pollution - polluted a river with 32,000 liters of chlorinated water while disinfecting a water main.		POEO Act - s.120(1)	\$3,264	Prosecution costs ordered.	
Australia (NSW)	2003	Encore Tissue Pty Limited (1)	Protection of the Environment Operations Act	Polluted waterways leading to a river with paper by-products from recycling operations.		POEO Act - s.120(1)	\$13,056	Prosecutions costs ordered - \$6,528.	
Australia (NSW)	2003	Heggies Bulkhaul Limited (1)	Protection of the Environment Operations Act	Water pollution - water, sand and silt from the quarry into a swamp.		POEO Act - s.120(1)	\$16,973	Prosecution costs ordered - \$9,792	
Australia (NSW)	2003	Richard John Hopley (1)	Protection of the Environment Operations Act; Road and Rail Transport (Dangerous Goods) Act	Water pollution; employing someone to transport dangerous goods without a license to transport them.	2	POEO Act - s.120(1); RRTA - s.35(2)	\$22,848	Prosecution costs ordered.	
Australia (NSW)	2003	Jolly's Pest Control Pty Ltd (1)	Protection of the Environment Operations Act	Water pollution - placed a pesticide in a position where it washed or was likely to be washed into a storm water drain		POEO Act - s.120(1)	\$4,896	Prosecution costs ordered.	
Australia (NSW)	2003	Lubrizol International Inc (1)	Protection of the Environment Operations Act	Water pollution - storage tank overflowed 7,520 liters of a diesel engine additive.		POEO Act - s.120(1)	\$10,445	Prosecution costs ordered - \$13,056.	
Australia (NSW)	2003	Mid Coast County Council (1)	Protection of the Environment Operations Act	Water pollution - untreated sewage matter.		POEO Act - s.120(1)	\$19,584	Prosecution costs ordered - \$15,667	
Australia (NSW)	2003	Ramsey Food Processing (1)	Protection of the Environment Operations Act	Water pollution - waste water from the operation of an abattoir.		POEO Act - s.120(1)	\$22,032	Prosecution costs ordered.	
Australia (NSW)	2003	TransGrid (1)	Protection of the Environment Operations Act	Water pollution - no sediment controls put in place causing large amounts of soil and vegetation to enter the water.	4	POEO Act - s.120(1)	\$52,224	Prosecutions costs ordered - \$32,640	

Australia (NSW)	2003	Truegain Pty Ltd. (5)	Not specified.	Waste oils and wastewater leaked into a storm water drain.			\$4,896	Prosecution costs ordered.	
Australia (NSW)	2003	Metziya Pty Ltd. (1)	Protection of the Environment Operations Act	Soil and dirt was discharged into water.		POEO Act s.120(1)	\$12,730	Prosecution costs ordered - \$9,1392	Guilty plea.
Australia (NSW)	2004	BHP Steel Ltd.(1)	Protection of the Environment Operations Act	Water pollution and contravention of the conditions of a license.	4	POEO Act - s.120(1) and s.64(1) three counts	\$51,980	Prosecution costs ordered.	
Australia (NSW)	2004	Forestry Commission of New South Wales (1)	Protection of the Environment Operations Act	Water pollution - construction of a dirt road in such a manner that it collapsed and pollutants entered a river.		POEO Act - s.120(1)	\$22,119	Prosecution costs ordered.	Guilty plea
Australia (NSW)	2004	Kevin Hines (1)	Protection of the Environment Operations Act	Was the occupier of a premise where a scheduled activity was conducted without a license and water pollution - pollutant was placed in a position where it was likely to be washed or percolate into waters.	2	POEO Act - s.48(2) and s.120(1)	\$11,060	Ordered to enter into an agreement for the restoration and enhancement of the waterway. Prosecution costs ordered.	
Australia (NSW)	2004	Hines (5)	Protection of the Environment Operations Act	Did not have a license. Polluted Grail Creek with effluent containing nitrogen and phosphorous.	2	POEO Act s.120(1) and s.48(2)	\$18,433	Fines waived in lieu of restoration project.	
Australia (NSW)	2005	Illawarra Coke Company (1)	Protection of the Environment Operations Act	Polluted two waterways with oil from an open valve in a coal "grinder-house".		POEO Act - s.123(1)	\$30,820	Prosecution costs of \$15,410.	
Canada	1990	Northwest Territories Power Corp. (7)	Fisheries Act	Shall deposit or permit the deposit of a deleterious substance of any type in fish habitat.		FA - s.36(3)	\$12,861	Public apology ordered (overturned on appeal).	
Canada	1991	Neptune Resources Corp. (7)	Fisheries Act	Shall deposit or permit the deposit of a deleterious substance of any type in fish habitat.		FA - s.36(3)	\$13,094		Guilty plea

Canada	1992	Petro-Canada (See Alert Bay Marine) (7)	Fisheries Act	Shall deposit or permit the deposit of a deleterious substance of any type in habitat - overfilling caused diesel to spill into the bay.		FA - s.36(3)	\$26,920	\$23,073 under s. 79 Fisheries Act "profit stripping" provision.	Guilty plea
Canada	1992	Alert Bay Marine (See Petro-Canada) (7)	Fisheries Act	Shall deposit or permit the deposit of a deleterious substance of any type in fish habitat - overfilling caused diesel to spill into the bay.		FA - s.36(3)	\$2,071	\$1,774 under s.79 Fisheries Act "profit stripping" provision.	Guilty plea
Canada	1992	Shell Canada Products Ltd. (7)	Fisheries Act	Shall deposit or permit the deposit of a deleterious substance of any type in fish habitat.		FA - s.36(3)	\$53,840		Guilty plea
Canada	1992	Northwest Territories (Commissioner) (7)	Fisheries Act	Shall deposit or permit the deposit of a deleterious substance of any type in fish habitat.		FA - s.36(3)	\$73,719	\$33,132 under s.79 of the Fisheries Act "profit stripping" provision.	
Canada	1993	Amoco Canada Petroleum Co. Ltd. (7)	Fisheries Act	Shall deposit or permit the deposit of a deleterious substance of any type in fish habitat.		FA - s.36(3)	\$19,395		Guilty plea
Canada	1993	Agrifoods International Co-operative Ltd. (7)	Fisheries Act	Shall deposit or permit the deposit of a deleterious substance of any type in fish habitat.		FA - s.36(3)	\$11,637	\$7,758 under s.79 of the Fisheries Act - profit stripping.	
Canada	1993	Echo Bay Mines Ltd. (7)	Fisheries Act	Shall deposit or permit the deposit of a deleterious substance of any type in fish habitat.		FA - s.36(3)	\$38,790		Guilty plea
Canada	1993	Boise Cascade Canada Ltd. (7)	Fisheries Act	Shall deposit or permit the deposit of a deleterious substance of any type in fish habitat.		FA - s.36(3)	\$7,758	\$3,879 under s.79 of the Fisheries Act "profit stripping" provision.	
Canada	1998	Givens (7)	Fisheries Act	Shall deposit or permit the deposit of a deleterious substance of any type in fish habitat.		FA - s.36(3)	\$20,250	The entire fine under s.79 of the Fisheries Act - profit stripping.	Agreement for "remediation" cited for lower fine.

Canada	1998	Harrison Hot Springs Hotel Ltd. (7)	Fisheries Act	Shall deposit or permit the deposit of a deleterious substance of any type in fish habitat.	2	FA - s.36(3)	\$10,125		
Canada	1998	Domtar Inc. (7)	Fisheries Act	Shall deposit or permit the deposit of a deleterious substance of any type in fish habitat.	4	FA - s.36(3)	\$118,125	\$81,000 under s.79 of the Fisheries Act "profit stripping" provision.	
Canada	2000	Irving Pulp & Paper (Cavendish Farms) (7)	Fisheries Act	Shall deposit or permit the deposit of a deleterious substance of any type in fish habitat.		FA - s.36(3)	\$20,217		
Canada	2001	Dartmouth Marine Slips (6)	Fisheries Act	Deposited sandblasting grit residue, a deleterious substance, into Dartmouth Cove.		FA - s.36(3)	\$61,380	\$58,149 paid into the Environmental Damages Fund.	
Canada	2003	City of Moncton (7)	Fisheries Act	Shall deposit or permit the deposit of a deleterious substance of any type in fish habitat.		FA - s.36(3)	\$25,074	\$17,910 under s.79 of the Fisheries Act "profit stripping" provision.	
Canada	2003	Canadian 88 Energy Corp (6)	Fisheries Act	Depositing a deleterious substance into Frozenman Creek - 92,000 liters (68,000 kilograms) of hydrocarbon condensate.		FA - s.36(3)	\$107,460	A "significant portion" of the penalty will be paid to the Environmental Damages Fund.	Also charged under provincial legislation.
Canada	2003	Irving Aviation Services (6)	Fisheries Act	Depositing a deleterious substance - a release of 4000 liters of jet fuel into a place where it was likely to enter waters frequented by fish.		FA - s.36(3)	\$7,164	\$6,805 of the money into the Environmental Damages Fund.	
Canada	2003	City of Dawson (6)	Fisheries Act	Depositing a deleterious substance – sewage.		FA - s.36(3)	\$3,582	Construct and have a fully operational a secondary sewage treatment plant by September 1, 2004.	
Canada	2004	Irving Pulp & Paper Limited (7)	Fisheries Act	Shall deposit or permit the deposit of a deleterious substance of any type in fish habitat.		FA - s.36(3)	\$23,109	\$21,183 under s. 79 of the Fisheries Act "profit stripping" provision.	

Canada	2004	Irving Pulp and Paper (6)	Fisheries Act	Shall deposit or permit the deposit of a deleterious substance of any type in fish habitat - deposited untreated paper mill effluent into Little River.		FA - s.36(3)	\$23,109	\$19,257 to be directed to environmental research by the Biology Department of the University of New Brunswick in Saint John and \$3851 to be paid into the Environmental Damages Fund.	
Canada	2004	City of North Battleford (6)	Fisheries Act	Shall deposit or permit the deposit of a deleterious substance of any type in fish habitat - sewage spills at the North Battleford wastewater treatment plant in 2003.		FA - s.36(3)	\$61,624	\$15,406 in costs; \$38,515 paid into the Environmental Damages Fund. Court order to have its new wastewater treatment plant in operation by November 30, 2005, or face a \$19,257 fine for every subsequent month that the plant is not in operation.	
Canada	2004	George M. Caseley & Sons Inc (6)	Fisheries Act	Shall deposit or permit the deposit of a deleterious substance of any type in fish habitat - allowing pesticide contaminated soil and water run-off to enter the Wilmot River at Norboro.		FA - s.36(3)	\$12,710	\$9,859 paid into Environmental Damages Fund.	
Canada	2004	J.M. Schneider Inc (6)	Fisheries Act	Shall deposit or permit the deposit of a deleterious substance of any type in fish habitat - illegally depositing deleterious effluent into the Nith River.		FA - s.36(3)	\$23,109	\$15,406 to be paid into the Grand River Conservation Authority's "Fisheries Management Plan."	
Canada	2004	Emera Fuels Inc (6)	Fisheries Act	Shall deposit or permit the deposit of a deleterious substance of any type in fish habitat - hundreds of liters of diesel fuel.		FA - s.36(3)	\$15,406	\$11,554 paid into Environmental Damages Fund.	
Canada	2004	Federated Pipe Lines Ltd. (6)	Fisheries Act	Shall deposit or permit the deposit of a deleterious substance of any type in fish habitat - crude oil spill.		FA - s.36(3)	\$154,060	\$150,208 paid into Environmental Damages Fund.	
Canada	2005	Newfoundland Recycling Limited (6)	Fisheries Act	A quantity of marine diesel was released into the waters.		FA - s.36(3)	\$12,170	\$4,056 paid into the Environmental Damages Fund.	

Canada	2005	Newfoundland Recycling Limited (6)	Fisheries Act	Shall deposit or permit the deposit of a deleterious substance of any type in fish habitat - marine diesel leak.		FA - s.36(3)	\$12,170	\$4,056 paid into Environmental Damages Fund	
Canada	2005	Akzo Nobel Chemicals (6)	Fisheries Act	Shall deposit or permit the deposit of a deleterious substance of any type in fish habitat - 1400 liters of Redicote C-2914 into South Saskatchewan River.		FA - s.36(3)	\$64,904	\$56,791 paid into Environmental Damages Fund. Court order to improve their material safety data sheets and employee training.	
India	1988	Union of India (11)	Public Interest Litigation	The pollution of the river Ganga was considered a "widespread" and "indiscriminate" public nuisance.		Writ Petition No.3721 of 1985.	Court Order	The Supreme Court ordered municipalities to increase the size of sewers lines; construct new sewers and public latrines; take action against and prevent future industrial pollution; education of the importance of cleanliness and the national environment.	
India	1988	Union of India (Kanpur Tanneries) (11)	Public Interest Litigation	Public interest petition for preventing owners of tanneries around the city of Kanpur from discharging effluents from their factories into the river Ganga.		Writ Petition No.3727 of 1985.	Court Order	The Supreme Court ordered that operation of these tanneries be stopped till they installed a primary treatment plant.	
India	1996	Spans Motel, Kamal Nath (13)	Public Interest Litigation	Spans Motel was granted a lease on public lands on the banks of the River Beas. Spans Motel carried out substantial work (dredging, barriers, wire crates) to deflect the river.			Court Order	The Supreme Court quashed the lease, ordered the Motel to pay for the restitution of the environment, and the removal of the various constructions and pollution.	
India	1993	Jai Bharat Wollen Finishing Works (13)	The Water Act	Discharged untreated effluent into an open drain in Panipat city without obtaining consent.			\$174	\$95 on the firm; \$79 on its manager.	Acquitted at trial; fine imposed by High Court on appeal.
India	1994	State of Madhya Pradesh (Municipalities) (13)	Public Interest Litigation	Petition moved by a physician concerned by the death of 12 children due to unsanitary conditions.			Court Order	Directed municipal authorities to cover an open drain, build public latrines, ensure drinking water was not contaminated. State	

								public health authorities directed to do a vaccination programme and distribute chlorine tablets.	
New Zealand	1994	NZ Co-operative Dairy Co Ltd28 (16)	Resource Management Act	Discharge of caustic soda from dairy factory killing 1500–1700 eels, and 22 fish.		RMA - s.15 Discharge of contaminants into the environment.	\$11,888		
New Zealand	1994	Machinery Movers Ltd (16)	Resource Management Act	Discharge of timber treatment chemicals to stream killing 100 nesting wild ducks, medical treatment required for people who assisted ducks.		RMA - s.15 Discharge of contaminants into the environment.	\$21,156	Costs of \$6,296 ordered.	Conviction.
New Zealand	1995	Ritchie's Transport Limited (16)	Resource Management Act	Transport company, discharge of detergents, oil diesel to stream.		RMA - s.15 Discharge of contaminants into the environment.	\$11,821		
New Zealand	1995	Ings Trading Co Ltd (16)	Resource Management Act	Discharge of soy oil to stream which discharged to Manukau Harbour. Ducks coated with oil.		RMA - s.15 Discharge of contaminants into the environment.	\$4,925	Solicitor's costs "to scale" ordered.	Guilty plea; appeal of sentence dismissed.
New Zealand	1995	Taringamutu Holdings (16)	Resource Management Act	200 chicken carcasses dumped into stream.		RMA - s.15 Discharge of contaminants into the environment.	\$3,940		Fine reduced from \$7,223 on appeal.
New Zealand	1996	Petrocorp Exploration Ltd (16)	Resource Management Act	Discharge of drilling mud, crude oil, hydrocarbons and other contaminants from oil rig into stream.		RMA - s.15 Discharge of contaminants into the environment.	\$34,410		
New Zealand	1996	Spence (16)	Resource Management Act	Discharge of cowshed effluent.		RMA - s.15 Discharge of contaminants into the environment.	\$1,376		
New Zealand	1997	Ritchie's Transport Limited (16)	Resource Management Act	Discharge of detergents, oil diesel to stream.		RMA - s.15 Discharge of contaminants into the environment.	\$11,925		
New Zealand	1997	BP Oil New Zealand Ltd (16)	Resource Management Act	Discharge of petrol from petrol station - 2000-2500 liters of fuel escaped into the forecourt and then into the storm water system.		RMA - s.15 Discharge of contaminants into the environment.	\$13,250		

New Zealand	1998	Central Hawkes Bay District Council ⁴³ (16)	Resource Management Act	Discharging treated sewage effluent greatly in excess of biological oxygen demand limit in discharge consent.		RMA - s.15 Discharge of contaminants into the environment.	\$8,058		
New Zealand	1998	McBreen Jenkins Construction Ltd (16)	Resource Management Act	Discharge of contaminant (rock, sediment, soil) into waterway from quarry. Continuing offence.		RMA - s.15 Discharge of contaminants into the environment.	\$7,521		Guilty plea (late).
New Zealand	1999	Ritchies Transport Ltd, (16)	Resource Management Act	Discharge of oil, detergents and diesel from bus wash into storm water system.		RMA - s.15 Discharge of contaminants into the environment.	\$7,944		
New Zealand	1999	JMC Ritchie & AJ Ritchie - operators of Ritichies Transport Ltd. (16)	Resource Management Act	Discharge of oil, detergents and diesel from bus wash into storm water system.	1 each	RMA - s.15 Discharge of contaminants into the environment.	\$15,888	Costs ordered.	
New Zealand	1999	Weatherall (16)	Resource Management Act	Vegetable processing business, discharge of washing water to storm water drain.		RMA - s.15 Discharge of contaminants into the environment.	150 hours	150 hours of community service.	Conviction (jury trial).
New Zealand	2000	Excell Corporation Ltd. (16)	Resource Management Act	Discharge of hydrocarbons into storm water system - continuing offence.		RMA - s.15 Discharge of contaminants into the environment.	\$7,784		
New Zealand	2000	AFFCO Allied Products Ltd (16)	Resource Management Act	Discharge of trade wastes to storm water system.		RMA - s.15 Discharge of contaminants into the environment.	\$7,326		
New Zealand	2000	HEB Contractors Ltd (16)	Resource Management Act	Earthworks contractor discharged sediment into stream and onto beach.	2	RMA - s.15 Discharge of contaminants into the environment.	\$18,316	Ordered to pay clean up costs of \$13,737.	
New Zealand	2005	Medi-Chem Waste Services Ltd (16)	Resource Management Act	Failed to keep closed a valve, allowing dyes to escape and enter a local waterway; sediment, containing heavy metals, flowed off the company's rear yard onto a neighboring property.	2	RMA - s.15 Discharge of contaminants into the environment.	\$14,933		

United Kingdom	1990	Shell (26)	Environmental Protection Act	Corroded pipe released 156 tonnes of crude oil. Seven tonnes entered a river.			1,785,000		Guilty plea. Shell paid clean up costs.
United Kingdom	1998	ICI Chemicals and Polymers (26)	Environmental Protection Act; Water Resources Act	147 tonnes of chloroform escaped from a pipeline.		EPA s.6(1); WRA s.85(1)	497100	Prosecution costs ordered - \$84,825 costs.	Guilty plea
United Kingdom	2002	TotalFinaELF UK (26)	Water Resources Act	Underground storage tank leaks approx 10,000 liters of fuel; a nearby water supply was contaminated.	3	WRA s.85(1) - water pollution	\$81,216	Prosecution costs ordered - \$6,170	Guilty plea
United Kingdom	2003	EE Pilgram and Son (26)	Water Resources Act	Large oil tank appears to have been vandalized, spilling an unknown amount of diesel.		WRA s.85(1) - water pollution	\$13,080	Prosecution costs ordered - \$2,189 in costs.	Guilty plea
United Kingdom	2003	Severn Trent Water Ltd. (26)	Water Resources Act	Failed to check and maintain an underground pipe; it leaked oil into a nearby creek.		WRA s.85(1) - water pollution	\$3,270	Prosecution costs ordered - \$2,035	Guilty plea
United Kingdom	2004	SB Homes Ltd (25)	Water Resources Act	Caused construction run-off containing high concentrations of suspended solids to enter Wessenden Brook .		WRA s.85(1) and 85(6) - water pollution offences.	\$3,666	Prosecution costs ordered - \$1,457	Guilty plea
United Kingdom	2004	Macepark (Whittlebury) Ltd (25)	Water Resources Act	Discharged sewage effluent outside the parameters of their consent conditions - it was only partially treated.	5	WRA s.85(6) water pollution offences.	\$22,913	Prosecution costs ordered - \$2,830.	Guilty plea.
United Kingdom	2004	Southern Water (25)	Water Resources Act	A generator filter at the sewage treatment works was leaking and around 14,000 litres of diesel had been lost; approx 2000 liters entered a local river.		WRA s.85 water pollution offences.	\$13,748	Prosecution costs ordered - \$2181.	
United Kingdom	2004	South West Water (25)	Water Resources Act	A loose cover on an outlet pipe caused the continued leaking of sewage into Boscastle Harbour		WRA s.85(3) - discharging sewage into controlled waters	\$4,583	Prosecution costs ordered \$2,016	

United Kingdom	2004	Barton Grange Group (25)	Water Resources Act	Polluting a local stream with sewage effluent.		WRA s.85(1) - water pollution	\$8,249	Prosecution costs ordered - \$2,346	Guilty plea.
United Kingdom	2004	Felinfoel Brewery Company Limited (25)	Water Resources Act; Salmon Freshwater Fisheries Act	Trade effluent from the company's brewery had entered the River Lledi causing the deaths of a > 396 fish in a 1,000 meter stretch of the river.	2	WRA s.85(1) - water pollution and SFFA s. 4	\$7,332	Prosecution costs ordered - \$3,955	Guilty plea.
United Kingdom	2004	Kronospan Limited (25)	Not specified.	Dowtherm, a heat transfer oil, had been allowed to enter the surface water management system.	8	Four charges related to water pollution offences; contravention of conditions of its consent to discharge effluent into the river	\$109,980	Prosecution costs ordered - \$13,408	Guilty plea.
United Kingdom	2004	B Taylor and Sons Transport (26)	Water Resources Act	A 50 gallon drum of red-diesel spilt and drained into a river.		WRA s.85(1) - water pollution	\$18,330	Prosecution costs ordered - \$4,483	Guilty plea.
United Kingdom	2004	CSC Crop Protection Ltd (26)	Water Resources Act	Using the wrong type of storage tank caused ammonia sulphate to enter an unnamed tributary of Hutton Beck, killing thousands of fish.		WRA s.85(1) - water pollution	\$18,330	Prosecution costs ordered - \$1,215	Guilty plea.
United Kingdom	2004	Anglian Water Services (25)	Water Resources Act	Caused trade or sewage effluent to be discharged into controlled waters namely a tributary of the River Great Ouse.	2	WRA s.85(3) - discharging sewage into controlled waters	\$60,306	Prosecution costs ordered - \$1,576	Guilty plea.
United Kingdom	2004	Owen G Owen Limited (25)	Water Resources Act	Abattoir effluent had entered controlled waters due to a puncture in an effluent storage tank.		WRA s.85(1) - water pollution	\$7,332	Prosecution costs ordered - \$1,865	
United Kingdom	2004	Thames Water Ltd (25)	Water Resources Act	A blockage in the foul sewer meant that sewage flowed through the overflow and into a local river.		WRA s.85(3) - discharging sewage into controlled waters	\$21,996	Prosecution costs ordered - \$1,869	
United Kingdom	2004	KH Taylor Ltd (25)	Water Resources Act	Caused vegetable wash water, to enter a tributary of the Whitewater Drain.		WRA s.85(1) - water pollution	\$9,165	Prosecution costs ordered - \$3,253	

United Kingdom	2004	Southern Water (25)	Water Resources Act	Sewage effluent was pumped into the river for at least an hour and was being discharged for a further two hours.		WRA - not specified. Either s.85(1) or s.85(3).	\$9,165	Prosecution costs ordered - \$2,404	
United Kingdom	2004	Dwr Cymru Cyf (Welsh Water) (25)	Water Resources Act	A tree broke a sewer pipe and the temporary pipe/pump was installed suffered mechanical problems.	7	WRA s.85(3) - discharging sewage into controlled waters (6 counts); failing to comply with a Works Notice	\$11,915	Prosecution costs ordered - \$5,632	Guilty plea.
United Kingdom	2004	Petrofac Facilities Management Limited. (25)	Water Resources Act	Act as sewerage agents for Dwr Cymru Cyf - they were responsible for the actual maintenance work.	6	WRA s.85(3) - discharging sewage into controlled waters.	\$10,998	Prosecution costs ordered - \$5,559	Guilty plea.
United Kingdom	2004	Thames Water Utilities Ltd (26)	Water Resources Act	Sewage effluent polluted a local brook killing 3,000-4,000 fish.		WRA s.85(1) - water pollution	\$27,495	Prosecution costs ordered - \$7,013 in costs.	Guilty plea
United Kingdom	2004	Express Potato Supply Limited (26)	Water Resources Act	Discharge of trade effluent into a stream - killed hundreds of fish.		WRA s.85(1) - water pollution	\$7,332	Prosecution costs ordered - \$1,493	Guilty plea
United Kingdom	2004	Treveluge Holiday Park (25)	Water Resources Act	Broken pipe leaks 400 litres of fuel.		WRA s.85(1) - water pollution	\$2,750	Prosecution costs ordered - \$3,574	
United Kingdom	2004	Severn Trent Water Ltd. (26)	Water Resources Act	Contaminated tributary with diesel/fuel oil.		WRA s.85(1) - water pollution	\$9,165	Prosecution costs ordered - \$3,666	
United Kingdom	2004	Parkdale Investments (26)	Water Resources Act	Pump failure - sewage and battery effluent overflow into a nearby brook.		WRA s.85(1) - water pollution	\$36,660	Prosecution costs ordered - \$25,460	
United Kingdom	2004	HECM Customer Services (26)	Water Resources Act	26 tonnes of diesel from underground storage facility leaked into brook.		WRA s.85(1) - water pollution	\$13,748	Prosecution costs ordered - \$4,868	
United Kingdom	2004	Basildon Chemical Company (26)	Water Resources Act	400 litres of toxic lubricant polluted one kilometer of a waterway killing approx. 350 fish.		WRA s.85(1) - water pollution	\$18,330	Prosecution costs ordered - \$3506	

United Kingdom	2004	Souther Water Services(26)	Water Resources Act	Diesel tank leaked oil and contaminated ground water.		WRA s.85(1) - water pollution	\$18,330	Prosecution costs ordered - \$2804	
United Kingdom	2004	Yorkshire Water (26)	Water Resources Act	Untreated sewage entered Pocklington Beck killing approx 2,000 fish.		WRA s.85(1) - water pollution	\$6,416	Prosecution costs ordered - \$1,127	
United Kingdom	2004	Thames Water (26)	Water Resources Act	Diesel oil leaked from oil tank at a sewage plant.		WRA s.85(1) - water pollution	\$13,748	Prosecution costs ordered - \$17,475	
United Kingdom	2005	George Devers, Paul Devers, Thomas Devers, Iain Unsworth, Anthony Owens and Brian Gardner (25)	Water Resources Act	Using premises at Woodside Farm for the slaughter of poultry without being licensed; caused a local stream to be polluted with blood.		WRA s.85(1) - water pollution; Poultry Meat, Farmed Game Bird Meat and Rabbit Meat (Hygiene Regulations)	\$928	180 hours community service each, except for Paul Devers, who was fined \$928. Prosecution costs ordered - \$2784.	Guilty plea
United Kingdom	2005	David Dew (25)	Water Resources Act	Failure to control contaminated farmyard runoff water due to poor management and inadequate storage facilities.		WRA s.85(6) water pollution offences.	conditional discharge	Prosecution costs ordered - \$2,162	Guilty plea.
United Kingdom	2005	Thames Water Utilities Ltd (25)	Water Resources Act	Blockage caused a very large balancing tank to fill and overflow, polluting a river with undiluted sewage which killed more than 8,000 fish.		WRA s.85(1) water pollution offences.	\$92,800	Prosecution costs ordered - \$15,349	
United Kingdom	2005	Cummins Engine Company Ltd (25)	Water Resources Act	Causing poisonous, noxious or polluting matter to enter controlled waters.		WRA s.85(1) water pollution offences.	\$9,280	Prosecution costs ordered - \$5,367	
United Kingdom	2005	Mark Hewitt (25)	Water Resources Act	Causing poisonous, noxious or polluting matter to enter controlled waters.		WRA s.85(1) water pollution offences.	\$7,424	Prosecution costs ordered - \$3,712	Guilty plea.
United Kingdom	2005	Dwr Cymru Welsh Water (25)	Water Resources Act; Salmon and Freshwater Fisheries Act	A combined sewage overflow discharge caused by a blockage resulted in the death of over 100 fish.	2	WRA s.85(1) water pollution offences; SFFA s.4	\$3,712	Prosecution costs ordered - \$5,243	Guilty plea.

United Kingdom	2005	WRG Waste Services Ltd (25)	Water Resources Act	Liquid and sludge waste which was being spread on fields ran into Cringle Brook polluting what was normally a high quality watercourse designated as a salmon fishery.		WRA s.85(1) and (6) water pollution offences - causing poisonous, noxious or polluting matter to enter controlled waters	\$11,136	Prosecution costs ordered - \$5,568	Guilty plea.
United Kingdom	2005	Kronospan Limited (25)	Water Resources Act	Breach conditions of their license for the discharge of contaminated surface water from the site.	5	WRA s.85 water pollution offences - causing poisonous, noxious or polluting matter to enter controlled waters	\$46,400	Prosecution costs ordered - \$14,328	Guilty plea.
United Kingdom	2005	Miller Homes developer of Miller House. (25)	Water Resources Act	Miller House sewage system was not fitted with the proper valve and raw sewage overflowed into a local creek, polluting it up to 1 km down stream.		WRA s.85 water pollution offences - causing poisonous, noxious or polluting matter to enter controlled waters.	\$18,560	Prosecution costs ordered - \$1,772	
United Kingdom	2005	Enderby Construction Limited (25)	Water Resources Act	Constructed the Miller House sewage system and did not install the required valve - its absence caused the overflow of raw sewage.		WRA s.85 water pollution offences - causing poisonous, noxious or polluting matter to enter controlled waters	\$25,056	Prosecution costs ordered - \$1,772	
United Kingdom	2005	Rialto Homes Limited (25)	Water Resources Act	Allowing silt to pollute the tributary and the river.		Not specified - most likely s.85 for water pollution offences.	\$27,840	Prosecution costs ordered - \$3,088	Guilty plea.
United Kingdom	2005	Imerys Minerals Ltd (25)	Water Resources Act	China clay leaked into a Cornish river.		WRA s.85 water pollution offences - causing poisonous, noxious or polluting matter to enter controlled waters.	\$2,227	Prosecution costs ordered - \$1,646	Guilty plea.
United Kingdom	2005	Johnsons Apparelmaster Ltd. (25)	Water Resources Act	Waste oils containing a dry-cleaning solvent ran into a stream because of an overflowed tank.		WRA s.85(1) and (6) water pollution offences - causing poisonous, noxious or polluting matter to enter controlled waters.	\$22,272	Prosecution costs ordered - \$4,857	Guilty plea.
United Kingdom	2005	Bridgeshire Packaging Ltd (25)	Water Resources Act	1000 gallons of ink-washings were lost to the surface-water drain; it entered a local creek, dyeing the water for .5 km downstream.		WRA s.85(1) and (6) water pollution offences - causing polluting matter to enter controlled waters.	\$7,424	Prosecution costs ordered - \$3,569	Guilty plea.

United Kingdom	2005	Spirit Group Retail Ltd, (25)	Water Resources Act	Causing sewage effluent and trade effluent to enter controlled waters at a tributary of the Exe Estuary.	2	WRA s.85(1) and (6) water pollution offences - causing polluting matter to enter controlled waters.	\$11,136	Prosecution costs ordered - \$1,583	Guilty plea.
United Kingdom	2005	Wessex Labels Ltd (25)	Water Resources Act	1,500 litres of oil escaped from a tank after vandals broke a sight tube and entered a local river.		WRA s.85(1) and (6) water pollution offences	\$2,784	Prosecution costs ordered - \$2,784 costs; \$3,712 in clean up costs ordered.	Guilty plea.
United Kingdom	2005	Brian Hobill (25)	Water Resources Act	A watercourse running next to the site was full of a beige sludge, later identified as pig feed.		WRA s.85(1) and (6) water pollution offences	\$9,280	Prosecution costs ordered - \$3,336	Guilty plea.
United Kingdom	2005	Humphrey Chattey (25)	Water Resources Act	Pig slurry was leaked into a local river off Chattey's farm.		WRA s.85(1) and (6) water pollution offences	\$928	Prosecution costs ordered - \$928	Guilty plea.
United Kingdom	2005	Solway Foods (25)	Water Resources Act	Waste food in a storage area which were leaking a trail of grey waste liquid into a surface water drain and into a local brook.	2	WRA s.85(1) and (6) water pollution offences	\$37,120	Prosecution costs ordered - \$371	Guilty plea.
United Kingdom	2005	Bridgend Industrial Estate (25)	Water Resources Act; Salmon Freshwater Fisheries Act	Oil escaped from a tank and entered a surface water road drain that discharged to the Nant Pontsannau and the River Ewenny.	2	WRA s.85 water pollution offences; SFFA s.4	\$5,568	Prosecution costs ordered - \$1,627	Guilty plea.
United Kingdom	2005	Baram Limited (25)	Water Resources Act	Deposited significant amounts of silt on the bed of a tributary leading to the River Loddon.		WRA s.85(1) and (6) water pollution offences	\$14,848	Prosecution costs ordered - \$2,199	Guilty plea.
United States	1999	Allen Fry (28)	Clean Water Act	Discharged petroleum-based pollutants from an UST into the sewer.	2	CWA - s. 1319(c)(1)(B)	\$5,000		Criminal prosecution. Guilty plea.
United States	1999	Henry Paul Kizer (28)	Clean Water Act	Kizer was in charge of employees cleaning out the settling ponds when wastewater containing coal slurry was discharged.		CWA - s. 1319(c)(1)(B) - negligent violation	\$50	36 months probation; \$50 "special assessment fee"	Criminal prosecution. Guilty plea.

United States	1999	Jasper and Christopher Petite (28)	Clean Water Act	Negligent violation of a requirement of their permit to collect and treat AMD in their coal mine operation.	2	CWA - s. 1319(c)(1)(B) - negligent violation	\$7,500	Jasper: \$5,000 and 12 months probation. Christopher: \$2,500 and 12 months probation.	Criminal prosecution. Guilty plea.
United States	1999	Wayne Fortney (28)	Clean Water Act	Knowingly violated the CWA - had a contract with the Petite's who did not collect and treat AMD in their coal mine operation.	2	CWA - s. 1319(c)(2)(A) - knowingly violates	\$50,000	60 months probation.	Criminal prosecution. Guilty plea.
United States	1999	Innovation Chemical Corp. (28)	Clean Water Act	Discharged wastewater on two separate occasions which had a pH lower than 4.0	2	CWA - s.1319(c)(2)(A) - knowingly violates	\$15,000	\$35,000 for the installation of equipment to monitor future discharges from its facility;\$25,000 prosecution costs.	Criminal prosecution. Guilty plea.
United States	1999	Brilliant Jewelers, Inc. (28)	Clean Water Act	Had a permit to discharge into the sewer; history of minor permit limit exceedances in Copper, Silver and other pollutants.		CWA - s.1319(c)(2)(A) - negligent violations and	\$98,000	24 months probation.	Criminal prosecution. Guilty plea.
United States	1999	Mid-South Terminal (28)	Clean Water Act	Dumped approximately 9500 cubic feet of scrap metal in the Mississippi River.		CWA - s.1319(c)(1) - negligent violation	\$150,000	\$125 "special fee assessment" and 24 months probation.	Criminal prosecution. Guilty plea.
United States	1999	U.S. Coal, Inc. (28)	Clean Water Act	Drained wastewater from an old mine without a NPDES permit. The discharged wastewater entered a creek.		CWA - s.1319(c)(1)(A) - negligent violation	\$19,000	\$125 "special fee assessment"	Criminal prosecution. Guilty plea
United States	1999	Mark Mills and William M. Bale (28)	Clean Water Act	Bale is president of U.S.Coal Inc and Mills an engineer. See above.		CWA - s.1319(c)(1)(A) - negligent violation	\$6,000	\$3,000 fine each plus a \$25 special fee assessment.	Criminal prosecution. Guilty plea
United States	1999	Cervantes Enterprises, Inc (28)	Clean Water Act	Water used to wash the chiles was discharged into an irrigation drainage ditch which eventually empties into the Rio Grande.		CWA s.1319(c)(1) - knowingly violates	\$100,000	36 months probation.	Criminal prosecution. Guilty plea
United States	1999	MJK Distributing, Inc (28)		Cleaning out tanker trucks and discharging the waste into the sanitary sewer without treating it.		CWA s.1317 - violation of toxic and pretreatment effluent standards.	\$14,073	36 months probation.	Criminal prosecution. Guilty plea

United States	1999	Burlington Northern Railroad (28)	Clean Water Act; Comprehensive Environmental Response, Compensation, and Liability Act	Large amount of lead waste from a rail car cleaning operation was dumped on the grounds and into a river.	3	CWA - s.1319 - knowingly violates (2); CERCLA s. 9603 - failure to notify (1).	\$7,000,000	\$7,000,000 in fines; \$12,000,000 in clean up costs.	Criminal prosecution. Guilty plea.
United States	1999	Osceloa Products Company (28)	Clean Water Act	Discharged heated untreated wastewater containing Hexane and Cotton Seed Oil into a drainage ditch.		CWA 1319 - knowingly violates	\$150,000	60 months probation.	Criminal prosecution. Guilty plea.
United States	1999	Steve Cooper, President of Osceloa Products Company (28)	Clean Water Act	Discharged heated untreated wastewater containing Hexane and Cotton Seed Oil into a drainage ditch - Cooper was president of the company.		CWA 1319 - knowingly violates	\$20,000 six months incarceration	24 months probation.	Criminal prosecution. Guilty plea.
United States	1999	George Russell, Environmental Engineer of Osceloa Products (28)	Clean Water Act	Discharged heated untreated wastewater containing Hexane and Cotton Seed Oil into a drainage ditch - Russell was the Environmental Engineer.		CWA 1319 - knowingly violates	\$2,500 six months incarceration.	24 months probation.	Criminal prosecution. Guilty plea.
United States	1999	Syro Steel, Inc (28)	Clean Water Act	Violated a requirement imposed in a sewer system pretreatment program by discharging wastewater heavily contaminated with zinc.		CWA s.1342(b)(8) - violates permit requirements	\$750,000	\$250,000 in restitution payments to local water quality programs.	Criminal prosecution. Guilty plea.
United States	1999	Charles McNamee (28)	Clean Water Act	Held personally liable for violation for Syro Steel Inc.'s permit violation.		CWA s.1319(c)(1) - knowingly violates	\$2,500 three months incarceration	Six months probation.	Criminal prosecution. Guilty plea.
United States	1999	Summitville Consolidated Mining Company (28)	Clean Water Act	Discharging pollutants from the mine into Wightman Fork and Cropsy Creek	35	CWA - 1319(c)(2)(A) - negligent violations	\$17,500,000		Criminal prosecution. Guilty plea.
United States	1999	Samye N. Buckner (28)	Clean Water Act	Discharging pollutants from the mine into Wightman Fork and Cropsy Creek - Summitville managers/owner.	16	CWA - s.1319 - knowingly violates; also charges of conspiracy and false statements.	\$20,000 six months incarceration	54 months probation.	Criminal prosecution. Guilty plea

United States	1999	Thomas S. Chisholm (28)	Clean Water Act	Discharging pollutants from the mine into Wightman Fork and Cropsy Creek - Summitville managers/owner.	33	CWA - s.1319(c)(2)(A) - negligent violation; also charges of conspiracy and false statements.	\$20,000 six months incarceration	54 months probation.	Criminal prosecution. Guilty plea
United States	1999	Gerhart Blain (28)	Clean Water Act	Illegal discharge of an overloaded septic system.		CWA - s.1319(c)(1) - negligent violation	\$10,000	6 months probation.	Criminal prosecution. Guilty plea
United States	1999	Jerry Dean Randrup (28)	Clean Water Act	55-gallon drums of spent solvents were disposed of on residential land.		CWA s.1319 - knowingly violates	six months incarceration	\$20,032 in restitution costs.	Criminal prosecution. Guilty plea.
United States	1999	Ponderosa Dairy (28)	Clean Water Act	Discharged 1.7 million gallons of dairy waste water.		CWA s.1319 - negligent violations.	\$200,000	\$10,000 worth of investigatory equipment for donation; \$6,915 in restitution; 36 months probation.	Criminal prosecution. Guilty plea.
United States	1999	Eric Goedhart (28)	Clean Water Act	Discharged 1.7 million gallons of dairy waste water - Goedhart was the manager of the operation.		CWA s.1319 - negligent violations	\$5,000	36 months probation.	Criminal prosecution. Guilty plea.
United States	1999	Gregg's Diesel Service (28)	Clean Water Act	Discharged waste water used for cleaning diesel engines without approval.		CWA s.1319 - negligent violations	\$8,000	36 months probation for the company and Mr. Gregg; \$3,000 of the fine from Mr. Gregg personally.	Criminal prosecution. Guilty plea to one count (plea bargain)
United States	1999	Crystal Ocean Seafood (28)	Clean Water Act	Dumping fish processing wastewater onto the ground in violation of (and sometimes without) a state permit.		CWA s.1319 - knowingly violates	\$50,000	Fine was suspended; \$100,000 in restitution costs; 36 months probation.	Criminal prosecution. Guilty plea.
United States	2000	Anthony Vierra (28)	Clean Water Act	Discharged manure contaminated dairy wastewater from crop fields at his dairy farm.		CWA s. 1319(c)(1)(A) - negligently violates	\$100,000	24 months probation.	Criminal prosecution. Guilty plea.
United States	2000	Donald Dale Lamb (28)	Clean Water Act	49,000 gallons of fuel oil spilled because Mr. Lamb failed to supervise.	3	CWA s.1319(c)(1)(A) - knowingly violates	\$2,000 and 12 months incarceration	36 months probation.	Criminal prosecution. Guilty plea.
United States	2000	MRS Plating (28)	Clean Water Act	Discharged hazardous plating wastes from the facility into the Lockport sewers system		CWA - s.1319(c) - negligent violation	\$90,000	\$30,421 in restitution costs to the City of Lockport	Criminal prosecution. Guilty plea.

United States	2000	Ronald Jagielo, employee of MRS Plating (28)	Clean Water Act	Discharged hazardous plating wastes from the facility into the Lockport sewers system as an employee of MRS Plating.		CWA - s.1319(c) - negligent violation	\$4,000 12 months incarceration	12 months probation.	Criminal prosecution. Guilty plea.
United States	2001	James Ming Hong, owner of Avion Environmental Group (28)	Clean Water Act	Avion bypassed the filter system and discharged untreated wastewater to the sewer system in violation of Avion's permit.	13	CWA - s.1319(c)(1)(A) - negligent violation	\$1,300,000 36 months incarceration		Criminal prosecution - conviction.
United States	2001	Robert L. Kirk (28)	Clean Water Act	Avion bypassed the filter system and discharged untreated wastewater to the sewer system in violation of Avion's permit.	1	CWA - s.1319(c)(2)(A) - knowingly violation	18 months incarceration	100 hours of community service.	Criminal prosecution. Guilty plea.
United States	2001	Ronald Lavigueure (28)	Clean Water Act	Altered a waterway to build recreation facilities without a permit.		CWA - s.1319(c)(1)(A) - negligent violation		36 months probation; 150 hours of community service; ordered to restore the West Fork of Woods Creek to its original course and appearance.	Criminal prosecution. Guilty plea.
United States	2001	Donald Paul Buchanan (28)	Clean Water Act	Filled and graded approximately 500 feet of the river from the shore to the middle; dredged the remaining channel, lowering water levels and killing fish/spawn.		CWA s.1319 - knowingly violates	\$10,000 24 days incarceration	60 months probation; all remediation necessary at the North Toe River site; take out a half page advertisement and make an apology.	Criminal prosecution. Guilty plea.
United States	2002	MacDermid, Inc. (28)	Clean Water Act	Discarding wastewater samples that had high levels of pollutants and failing to report analyses of such samples, which led to the falsification of its DMRs.		CWA s.1319 - knowingly violates and false statements.	\$3,006,287	24 months probation; \$1,600 community project fine, \$4,687 local fine, a \$2 million federal fine and \$1 million for a community environmental program.	Criminal prosecution. Guilty plea.
United States	1998	J&M Devine Corp	Clean Water Act	Dumped toxic solvents, including xylene, toluene, ethyl benzene and methylene chloride into the Warham, Massachusetts sewage treatment plant.		CWA s.1319(c)(2)(b) - knowingly introduces into a sewer system or POTW any pollutant or hazardous substances.	\$30,000	36 months probation.	Criminal prosecution. Guilty plea.

United States	1998	J.A.K.E. Management Services, Inc. (28)	Clean Water Act	Discharged Perlite and other industrial wastes into Bullock Pen Creek		CWA s.311(a) - illegal discharge of pollutants	Court Order	Special assessment fee of \$200; restitution costs - \$30,00	Criminal prosecution. Guilty plea
United States	1998	US Filter/Polymetrics, Inc. (28)	Clean Water Act	Discharged highly acidic waste water into a sewer system causing untreated sewage and industrial waste to flow into the Connecticut River.		CWA s.1319 - knowingly violates	\$1,000,000	36 months probation; \$10,979 in restitution costs; \$350,000 donation to Connecticut Department of Environmental Protection.	Criminal prosecution. Guilty plea
United States	1998	Warner Lambert, Inc (28)	Clean Water Act	Failed to accurately report results of test it performed on wastewater discharge.	6	CWA s.1319(c)(4) - false statements	\$3,000,000		Criminal prosecution. Guilty plea
United States	1998	Richardson Brands Corporation (28)	Clean Water Act	Discharged a mixture of plant wastes into storm drains which in turn, discharged into the Mohawk River.	5	CWA s.1319(c) - negligent violation	\$33,000		Criminal prosecution. Guilty plea
United States	1998	George Armadoros and Carl Sauerio Marra (28)	Clean Water Act	Pumped wastewater from a cement wash-out pit through a hose into Flushing Bay.		CWA s.1319(c)(2) - knowingly violates		500 hours of community service; 24 months probation	Criminal prosecution. Guilty plea
United States	1998	Paul Thomas (28)	Clean Water Act	Thomas owned a mine that had been diverting acid mine drainage to avoid treating it. An explosion caused 28 million gallons of it to enter a local river.		CWA s.1319(c)(2) - knowingly violates	Court Order	Restitution costs - \$443,400 and 60 months probation.	Criminal prosecution. Guilty plea to one count.
United States	1998	Denny Moore (28)	Clean Water Act	Abandoned the operation of a sewage treatment plant that had been operation without a permit and in violation of regulations for sometime causing the discharge of raw sewage.	4	CWA s.1319(c)(2) - knowingly violates	21 months incarceration	12 months probation.	Criminal prosecution - conviction on 4 counts. Upheld on appeal.
United States	1998	Mark Mayhue (28)	Clean Water Act	Failed to remove approximately 150 tons of sewage sludge from the wastewater before it was it was discharged from the plant.	3	CWA s.1319(c)(2)(A) and 1311 - knowingly violates; ;1319(c)(1) and 1311 - negligently violates	\$2,000 9 months incarceration	12 months supervised release; 50 hours of community service.	Criminal prosecution. Guilty plea

United States	1998	Irvine Lauder milk (28)	Clean Water Act	Had a contract from the USAF to dispose of grease and sanitary sewer waste; dumped into the sewage system without a permit or approval.	2	CWA s.1317(d) - pretreatment standards; 1319(c)(2)(A) - illegal discharge of a pollutant	5 months incarceration	Five months home confinement; 12 months probation; \$9,890 in restitution.	Criminal prosecution. Guilty plea.
United States	1998	River Road Terminal (28)	Clean Water Act	discharged grains, salts and fertilizers into the Ohio River without the proper permits.		CWA s.1319(c)(1)(A) - negligently violates	\$75,000	\$125 special assessment fee; 24 months probation.	Criminal prosecution. Guilty plea.
United States	1998	Ulysses Cruises, Inc (28)	Act to Prevent Pollution from Ships; Clean Water Act	Knowingly discharged garbage in plastic bags into the sea; discharged oil from the ship into the sea.	4	APPS knowingly violates MARPOL protocol; CWA 1321(b)(3) - discharge of oil or hazardous substance into or upon navigable waters of the United States; 1319(c)(1) - negligent violation	\$150,000	60 months probation; \$175,000 fine to the federal Oil Spill Liability Trust Fund; pay \$275,000 in restitution for coral reef and marine life restoration projects	Criminal prosecution. Guilty plea.
United States	1998	Seaway Maritime Company (28)	Clean Water Act	Bilge waste oil had been discharged approximately six miles off the coast of Florida.		CWA s.1319(c)(1) - negligent violation.	\$75,000	12 months probation	Criminal prosecution. Guilty plea.
United States	1998	Industrial Coating Services (28)	Clean Water Act	Dumped paint, paint waste and waste water into loading dock drain and a the sewer system.	multiple	CWA s.311(a), 1319(c)(2)(A) - illegal discharge of pollutants; 1317(b) & (d) - knowingly discharges a pollutant	\$100,000	24 months probation; \$400 special fee assessment; half of the fine will be suspended if the company successfully completes the probation period.	Criminal prosecution. Guilty plea.
United States	1998	George W. Pfaffenberger (28)	Clean Water Act	Untreated sewage was purposely discharged into an adjacent wetland/river.		CWA s.1319(c)(2)(A) - knowingly violates	\$25,000	Eight months home confinement; 24 months probation; \$25,000 in federal fines.	Criminal prosecution. Guilty plea.
United States	1998	Terminal Railroad Association of St. Louis (28)	Clean Water Act	Cleaned locomotives with solvents. Because the oil-water separator was dysfunctional, untreated oil, solvents and other wastes were discharged into a river.	2	CWA s.1319 - illegal discharge of waste oil and failure to notify	\$500,000	36 months probation;\$26,073 restitution for the emergency response costs.	Criminal prosecution. Guilty plea.
United States	1998	Albert Gene Butcher (28)	The Safe Drinking Water Act	Accepted industrial wastewater from other sources and disposed of the wastewater down a Class II injection well.		SDWA s.300-h - violation of the underground injection control program	\$5,000	60 months probation; 100 hours of community service; a \$100 special assessment fee.	Criminal prosecution. Guilty plea.

United States	1998	Christopher Lee Dickenson (28)	Oklahoma State Law	Discharged 70-80 gallons of petroleum-contaminated wastewater to make room for another shipment.	multiple	27A O.S. 2-6-210 through 2-6-206 - unlawful discharge of a pollutant	\$5,000 3 months incarceration	60 months probation	Criminal prosecution. Guilty plea
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Providing False or Misleading Information to Officials

Country	Date	Offender	Legislation	Nature of the Offence	Charges	Regulation Contravened	Sentence	Court Orders	Notes
Australia (NSW)	2003	Yolanda Hargraves (1)	Protection of the Environment Operations Act	False and misleading information.	2	POEO Act - s.211(2)	\$19,584	Prosecution costs ordered.	
New Zealand	2005	Dale Robert Robertson (17)	Fisheries Act	Dumped an unknown amount of barracuda and gurnard back into the ocean before landing in port and reporting his catch.		FA –s.233 making a false statement in a fishing return	\$7,111	Forfeit his commercial fishing vessel.	Guilty plea
New Zealand	2005	Koyo Maru No 8 - its fishing master and project manager. (17)	Fisheries Act	A practice known in the fishing industry as "trucking" which is the false reporting of fish between two or more fisheries management areas	8 (4 each)	FA - s.233 making a false statement in a fishing return	\$159,286	The vessel, worth an estimated value of \$8.2 million forfeited to the Crown	Guilty plea
United Kingdom	2004	Mark Howse (25)	Environmental Protection Act	Two fly-tipping charges and one of providing false information following his arrest at his home	3	EPA - not specified.	\$6,416	Prosecution costs ordered - \$1,833. Plus \$1,374 compensation to the landowner.	Guilty plea.
United States	1999	Alexander Galperin (28)	Clean Water Act	Alexander Galperin filed the reports for Brillian Jewelers Inc.		CWA - s.319(c)(4) - knowingly makes false statements	\$2,100	18 months probation.	Criminal prosecution. Guilty plea
United States	1999	Hess Environmental Laboratories (28)	Clean Water Act	Systematically and continuously provided false and fraudulent testing results to their customers over a nine-year period.	9	CWA - 319(c)(2) - knowingly violates; plus conspiracy, fraud and false statements/claims charges.	Court Order	\$5,553,634 in restitution costs to approx 4000 victims. 60 months probation	Criminal prosecution - guilty plea to all 9 counts.

United States	1999	William Hopkins (employee of Hess) (28)	Clean Water Act	Hopkins personally directed others at Hess to fabricate and send results to major customers of Hess labs.	4	CWA - s.1319(c)(2) - knowingly violates; plus charges of conspiracy, fraud and false statements/claims.	\$45,000 and 15 months incarceration,	36 months probation and \$291,071 in restitution to the Tobyhanna Army Depot.	Criminal prosecution - guilty plea to all 4 counts.
United States	1999	John White (28)		Filed operating reports with false entries. White was the supervisor of the plant.		Providing false statements.	\$5,000 six months incarceration		Criminal prosecution - was acquitted of three other charges.
United States	1999	Carolyn Taylor (28)		Filed operating reports with false entries.		Providing false statements	\$1,000 three months incarceration	\$100 special assessment fee, \$414 in restitution.	Criminal prosecution - was acquitted of three other charges.
United States	1999	Ozark Environmental Laboratory (28)	Clean Water Act	Did nothing to withdraw false statements and knowingly caused materially false reports.		CWA s.1319 - knowingly violates	\$2,500		Criminal prosecution. Guilty plea
United States	1999	Pamela Hunter (28)	Clean Water Act	Knowingly made or caused to be made false material statements, representations and certifications		CWA 1319(c)(4) - making false statements	\$100	40 hours of community service; 48 months probation	Criminal prosecution. Guilty plea
United States	1998	Juan Ruiz Orengo, owner/manager of Warner Lambert (28)	Clean Water Act	Failed to accurately report results of test it performed on wastewater discharge.	6	CWA s.1319(c)(4) - making false statements	21 months incarceration		Criminal prosecution. Guilty plea
United States	1998	Ronald Lee Carmichael (28)	Tennessee state regulations/law	Falsifying DMRs and submitting them to TDEC without obtaining physical samples and without proper analysis of samples.			\$1000 12 months incarceration	12 months probation	Criminal prosecution. Guilty plea
United States	2005	Karl Rollefson (32)	Alaska State Law	Failure to obtain a valid I/M certificate; made false statements on his vehicle registration application.	2	Emissions and maintenance (I/M) program requirements.	\$50 5 days incarceration	\$50 surcharge; 3 years probation	

Transportation – Unlawful Transportation of Dangerous Goods

Country	Date	Offender	Legislation	Nature of the Offence	Charges	Regulation Contravened	Sentence	Court Orders	Notes
Australia (NSW)	2002	Embridge Crest Pty Ltd (1)	Roads and Rail Transport (Dangerous Goods) Act	Involved in the unsafe transport of dangerous goods by road.		RRTA - s.37(3)	\$16,323	Prosecution costs ordered.	
Australia (NSW)	2002	Moama Refinery Pty Limited (1)	Roads and Rail Transport (Dangerous Goods) Act	Involved in the unsafe transport of dangerous goods by road		RRTA - s.37(3)	\$24,485	Prosecutions costs - \$2176	
United States	1999	Derald Armstrong and Warren Wanjura (28)	The Resource Conservation and Recovery Act		3	RCRA s.6928(d)(5) - knowingly transports without a manifest s.6928(d)(1) - knowingly transports or causes to be transported any hazardous waste.	\$2000 six months incarceration (each)	12 months probation.	Criminal prosecution. Guilty plea.

Transportation – Unlawful Transportation of Waste

Country	Date	Offender	Legislation	Nature of the Offence	Charges	Regulation Contravened	Sentence	Court Orders	Notes
Australia (NSW)	2001	Edmund Bruce Brown (1)	Waste Minimisation and Management Act; Environmental Offences and Penalties Act	Unlawfully transporting waste to a place that could not lawfully be used as a waste facility.	3	WMMA - s.63(1)	\$9,846		
Australia (NSW)	2002	J Nechakoski (1)	Waste Minimisation and Management Act; Protection of the Environment Operations Act	Transported construction waste to a place that cannot lawfully be used as a waste facility for that waste.		WMMA - s.63(1) POEO Act - s.143(1)	\$16,867	Prosecution costs ordered.	

Australia (NSW)	2002	John Gordon Richardson Klaus Otto Behnfeld (1)	Waste Minimisation and Management Act; Environmental Offences and Penalties Act	Unlawfully transporting waste to a place that could not lawfully be used as a waste facility - tyres.	3	WMMA - s.63(1)	\$54,410	Prosecution costs ordered - \$21,764.	
Australia (NSW)	2003	Australian Pacific Oil Company Ltd. Craig Leonard Williams and Jamie Manson Williams (1)	Protection of the Environment Operations Act	Unlawful transportation of waste.	6	POEO Act - s.143(1B) (s.169(1) makes the Williams personally liable)	\$52,237	Prosecution costs ordered \$35,904. Court ordered publication of the details of the offence.	
Australia (NSW)	2003	Chester Fernando; Steadfast Maintenance Services Pty Ltd (1)	Protection of the Environment Operations Act	Transport waste to a place that could not lawfully be used as a waste facility for the waste.	1 each	POEO Act - s.143(1)(a)	42,432	Prosecution costs ordered.	
Australia (NSW)	2003	Gregory Charles Hunter (1)	Protection of the Environment Operations Act	Transport waste to a place which cannot lawfully be used as a waste facility for that waste.		POEO Act - s.143(1)	\$9,792	Prosecution costs ordered.	

Waste Disposal – Unlawful Disposal of Waste (General)

Country	Date	Offender	Legislation	Nature of the Offence	Charges	Regulation Contravened	Sentence	Court Orders	Notes
Australia (NSW)	2004	Craig Floyd (1)	Protection of the Environment Operations Act	As the occupier of land that could not lawfully be used as a waste facility, he permitted the land to be used as a waste facility - stored 18,000 cubic meters of construction waste.		POEO Act - s.144(1) (under s.169(1) which holds company directors/managers personally liable)	\$7,373	Prosecution costs ordered - \$11,796	Guilty plea.
Australia (NSW)	2004	Allan William Robinson (1)	Protection of the Environment Operations Act	As the occupier of land that could not lawfully be used as a waste facility, he permitted the land to be used as a		POEO Act - s.144(1)	\$5,898	Prosecution costs. See Steepleton Pty Limited and Kenneth Warren Pannowitz	

				waste facility - stored construction waste.					
Australia (NSW)	2004	S J Perry (1)	Protection of the Environment Operations Act	K P Recycling Pty Ltd negligently dispose of waste in a manner that harmed or was likely to harm the environment.		POEO Act - s.115(1) (s.169(1) makes Mr. Perry personally liable)	\$22,119	Prosecution costs ordered.	
Australia (NSW)	2005	Steepleton Pty Limited and Kenneth Warren Pannowitz (1)	Protection of the Environment Operations Act	Transport waste to a place that could not lawfully be used as a waste facility for that waste.		POEO Act - s.143(1) (under s.169(1) making Mr. Pannowitz personally liable)	\$46,230	Steepleton fine \$30,820; Pannowitz fine \$15,410. Prosecutions costs ordered.	Guilty plea
Canada	2002	Tufco Flooring (Maritimes) Limited (6)	Migratory Bird Convention Act	Depositing waste (paint stripper residue) harmful to birds in an area frequented by migratory birds.		Migratory Bird Regulations s.35(1)	\$6,372	\$3,186 paid into Environmental Damages Fund	
New Zealand	2004	Two individuals (18A)	Not specified.	Burned bush and organic material for 12 days, despite warnings to stop and complaints from neighbours.	10	Discharging smoke without resource consent and for burning material that was not dry and seasoned.	\$6,446	Costs of \$86 on each of charges with an additional payment of \$531 costs for Environment Bay of Plenty.	
South Africa	2005	Darryl Clive Tucker (21)	Environment Conservation Act	Operating a waste disposal site without authorisation, and discarding of waste at an unauthorised waste disposal site.	2	Not specified.	\$16,020	5 years incarceration, suspended for five years on condition he repair the damage and pay any proceeds from the sale of the land into an attorney's trust fund.	
United Kingdom	2004	Charles Shaw (25)	Environmental Protection Act	Depositing construction waste - concrete, bricks, metal etc - on pasture land, telling authorities it was farm waste.	5	EPA - s.33(1)(a) deposited controlled waste without a license; s.33(6) breach of the conditions of a license.	4 months incarceration	Prosecution costs of \$17,549	Conviction after a 3 day trial; already had unpaid fines for environmental offences.
United Kingdom	2004	Alan Yarlett (25)	Environmental Protection Act	All types of waste were regularly deposited in the yard and on numerous occasions waste was disposed of by burning	4	EPA - s.33 knowingly causing controlled waste to be deposited, disposed, treated and kept on land without a license.	240 hours of community service	Prosecution costs of \$20,978. Anti-Social Behaviour Order was made against Mr Yarlett to protect local residences and business.	Guilty plea.
United Kingdom	2004	Haydn Williams (25)	Environmental Protection Act	Burning large amounts of waste containing asbestos, plastic, hazardous chemicals.	multiple	Health & Safety at Work Act and the Control of Asbestos at Work Regulations.	5 months incarceration	The custodial sentence was suspended because of the defendant's poor health.	

United Kingdom	2004	James Gardner (25)	Environmental Protection Act	Illegally depositing waste tyres - "fly-tipping."		EPA - s.33(1)(a) - deposited controlled waste without a license.	180 hours of community service	180 hours community service	Guilty plea
United Kingdom	2004	David Pickford (25)	Environmental Protection Act	Deposited controlled waste - cabinets, sofas etc. - on two road sides.	2	EPA - s.33(1)(a) - deposited controlled waste without a license.	conditional discharge	Prosecution costs ordered - \$550	Guilty plea
United Kingdom	2004	Joywheel Limited (25)	Environmental Protection Act	Failed to use the two licensed landfill sites they had nominated and instead dumped the waste at a reduced cost on Raans Farm.		EPA - s.33 depositing the waste soils unlawfully.	\$18,330	Prosecution costs ordered - \$1,312	Guilty plea.
United Kingdom	2004	John Woodley (25)	Environmental Protection Act	Owner of Raans Farm where Joywheel Ltd. dumped the waste.		EPA - s.33 permitting the unlawful deposit of the waste soils.	\$1,833	Prosecution costs ordered - \$1393	Guilty plea.
United Kingdom	2004	Simon Hurst (25)	Environmental Protection Act	knowingly allowed controlled waste to be deposited without a waste management licence,.	3	EPA - s.33(1)(a) deposited controlled waste without a license; s.33(6) breach of the conditions of a license.	\$9,165	Prosecution costs ordered - \$2,117	Guilty plea.
United Kingdom	2004	John Dunne (25)	Environmental Protection Act	Assisting illegal tipping operations by unloading one lorry and bulldozing waste over the tip fence at the site.	2	EPA - s.33 knowingly causing or permitting the depositing of waste on unlicensed land and keeping, treating or disposing of waste on unlicensed land.	\$550	Prosecution costs ordered - \$366	
United Kingdom	2004	John Orton (25)	Environmental Protection Act	Depositing, treating and disposing of scrap cars and vehicle parts on land not covered by a Waste Management Licence.		EPA - s.33 knowingly causing or permitting the depositing of waste on unlicensed land.	\$733	Prosecution costs ordered - \$183.	Guilty plea.
United Kingdom	2004	Shaun Mullervy (25)	Environmental Protection Act	Dumped waste in various unauthorized locations.	6	EPA - s.33 disposing of controlled waste on an unlicensed site.	\$825		
United Kingdom	2004	Roll On Off Services Limited (25)	Environmental Protection Act	Allowed employees to dump waste at sites without checking to see if they were registered.	3	EPA - s.33 disposing of controlled waste on an unlicensed site.	\$6,416	Prosecution costs ordered - \$2,099	

United Kingdom	2004	HG Hodges & Son Limited (25)	Environmental Protection Act	Owners of an airfield allowed it to be used to store waste without a license to do so.	2	EPA - s.33 permitting waste to be kept on land which did not have a license.	2,750	Prosecution costs ordered - \$3,086.	Guilty plea.
United Kingdom	2004	Anthony Bennet (25)t	Environmental Protection Act	Owned Three Counties Skip Hire which deposited and kept waste on an unauthorized site.	2	EPA - s.33 disposing of controlled waste on an unlicensed site.	\$2,750	Prosecution costs ordered - \$2,736.	Guilty plea.
United Kingdom	2005	Gerald Ward (25)	Environmental Protection Act	Used his motor vehicle to unlawfully deposit construction waste from a renovation project.		EPA - s.33(5) control waste being deposited unlawfully from a motor vehicle.	160 hours of community work	Six month disqualification from driving; \$676 in prosecution costs ordered.	Guilty plea
United Kingdom	2005	Kevin Monaghan and Wayne Thornton (25)	Environmental Protection Act	Burning pallets in an attempt to get rid of their commercial waste.	1 each		\$3,341	\$1670 fine each. \$1573 in court costs each.	
United Kingdom	2005	Mark Roberts (25)	Environmental Protection Act	Transporting significant amounts of construction waste, illegally deposited with the combustible material set alight causing plumes of black smoke to be emitted.	5	EPA s.33 and 34 - illegal deposit and disposal of controlled waste by burning.	8 months incarceration		Guilty plea
United Kingdom	2005	British Home Services (25)	Environmental Protection Act	Metal cabinets and shop counters from a recent renovation project were unlawfully disposed of at various locations.	2	EPA - s.34 failing in their Duty of Care to prevent the illegal deposit of the shop fittings; lack of waste transfer notes	7424	Prosecution costs ordered - \$606	Guilty plea.
United Kingdom	2005	Allbins Skip Hire Ltd (25)	Environmental Protection Act	Discovered engine parts, scrap metal, gas bottles as well as piles of hardcore, soils and mixed waste on their premises. They were only licensed to transport waste - not storage /disposal	8	EPA s.33 - unlawfully waste disposal; s.34 - failed to take measures to prevent escape and secure the waste.	\$46,400	Prosecution costs ordered - \$4,038.	Guilty plea.
United Kingdom	2005	Garry Thompson (25)	Environmental Protection Act	Garry Thompson was the Director of Allbins Skip Hire Ltd.	8	EPA - s.157 holds people responsible if their conduct is neglectful	\$44,544		Guilty plea.
United Kingdom	2005	David McIntyre (25)	Environmental Protection Act	Illegally stored a great number of tyres, causing a fire that burned for five days.	5	EPA s.33(6) - breach the conditions of license for waste management.	\$55,680	Prosecution costs ordered - \$4229	Guilty plea.

United Kingdom	2005	Nicholas Willsmore (25)	Environmental Protection Act	Allowing the deposit of scrap motor vehicle tyres; depositing scrap metal, bricks, rubble, green wastes, wood and mixed wastes; disposing of waste by burning and keeping scrap motor vehicle tyres.	4	EPA - s.33(1)(a) deposited controlled waste without a license.	\$742	Prosecution costs ordered - \$742	Conviction.
United Kingdom	2005	John Wood (25)	Environmental Protection Act	Large amounts of household and commercial waste including soil, hardcore, wood, plastic, green waste, demolition waste, scrap metal, car batteries, and paper.	2	EPA - s.33 depositing, treating and keeping controlled waste.	\$18,560	Prosecution costs ordered - \$1,484	Guilty plea.
United Kingdom	2005	Gary Glover (25)	Environmental Protection Act	Waste included bathroom units, polystyrene lagging and general builders' rubbish as well as two bags of waste tiles, which were traced back to Mr Glover, was found dumped at old Oxfordshire air strip.		EPA - s.34 failing to take reasonable measures to ensure his waste was properly disposed, and failing to provide waste transfer note giving a necessary description of the waste.	\$1,299	Prosecution costs ordered - \$1,4606	Guilty plea.
United Kingdom	2005	Michael Turner (25)	Environmental Protection Act	286 tyres and numerous tins of paint in a pile beside a car park; some of the paint cans had burst open covering trees, tyres and the ground with coloured paint.	4	EPA - illegally disposing of controlled waste, causing controlled waste to be disposed of, transporting controlled waste and failing to comply with Special Waste Regulations.	\$1,485	Prosecution costs ordered - \$371	Guilty plea.
United Kingdom	2005	Kieran Fox and Alan Edwards (25)	Environmental Protection Act	Keeping scrap cars without a Waste Management Licence	2	EPA s.33 End of life Vehicle Regulations.	\$2,784	Prosecution costs ordered - \$952 each.	Guilty plea.
United States	1998	David Taylor (28)	Tennessee state regulations/law	Dumped over 81,000 used tires on property deemed to be wetlands.	5		\$17,289.70 36 months incarceration	Incarceration sentence suspended to 30 days; 72 months probation; \$17,289.70 to be paid to the state for removal of the tires {clean-up costs}.	Criminal prosecution. Guilty plea.

Waste Disposal – Unlawful Disposal of Asbestos

Country	Date	Offender	Legislation	Nature of the Offence	Charges	Regulation Contravened	Sentence	Court Orders	Notes
United Kingdom	2005	Bryant and Chandler Ltd (25)	Environmental Protection Act	Illegally transported and deposited asbestos from a renovated cottage.	4	EPA - illegally transported and deposited asbestos.	\$278	Prosecution costs ordered - \$1,392 costs. It was also given a six month conditional discharge.	Guilty plea.
United Kingdom	2005	Kulwinder Singh (25)	Environmental Protection Act	Burying bonded asbestos sheets in an access road adjacent to his property.		EPA s.33 - illegally depositing the waste by burying it on land without a waste management licence.	\$2,784	Prosecution costs ordered - \$2,412	Guilty plea.
United States	1999	Christopher M. Arader (28)	Clean Air Act	Knowingly violated requirements in the disposal of asbestos and failed to notify/report.	3	CAA - two counts 7413(C)(1) one count - 7413(c)(2)(B)	\$70,000 and six months incarceration	54 months and 15-day probation and 50 hours of community service.	Criminal prosecution. Guilty plea
United States	1999	Howard Bradley Parsons (28)	Clean Air Act	Used a plot of land in a residential area to dump a large amount of the demolition debris, including asbestos-containing waste.	7	CAA - 7413(c)(1) - knowingly violates.	15 months incarceration	36 months probation. Ordered to pay \$2,000 in restitution to each of the 21 families living in the area (total restitution \$42,000).	Criminal prosecution. Convicted by a jury. Upheld on appeal.
United States	1999	Terry Conklin (28)	Clean Air Act	Illegally removed asbestos from a location and buried the asbestos on the site.	1	CAA - 7413(c)(1)	\$12,000 and 10 months incarceration		Criminal prosecution - indicted on eight counts but plead guilty to one.
United States	1999	Power City Plumbing and Heating (28)	Clean Air Act	Failed to adhere to the CAA's work practice standards regarding the removal and disposal of asbestos.		CAA - s.7413(c)(1) knowingly violates.	\$10,000	60 months probation.	Criminal prosecution.
United States	1999	Major Abatement and Demolition (28)	Clean Air Act	Did not follow standards for the removal and disposal of asbestos.		CAA - s.7413 - knowingly violates	\$10,000	\$100,000 for the clean up costs; 24 months probation.	Criminal prosecution. Guilty plea
United States	1999	Nichols L. Fortner (28)	Clean Air Act	Did not follow standards for the removal and disposal of asbestos - Fortner was the supervisor for Major Abatement and Demolition.		CAA - s.7413 - knowingly violates	\$1,000	48 months probation.	Criminal prosecution. Guilty plea

United States	2000	Steven A. Hunter (28)	Clean Air Act	Several violations of asbestos removal/disposal during an asbestos renovation project.	7	CAA s.7413(c)(1) - knowingly violates	41 months incarceration	\$59,700 to Peerless Insurance Company; 36 months probation.	Criminal prosecution - conviction.
United States	1998	Isaac Itzkowitz (28)	Clean Air Act	Hired by Landau to remove 260 linear feet of asbestos which he did in violation of regulations and the CAA.	3	CAA s.7413 - knowingly violates (2); plus a federal conspiracy charge.	21 months incarceration	No fine.	Criminal prosecution - conviction.
United States	1998	Ephraim Landau (28)	Clean Air Act	Landau hired Itzkowitz to remove asbestos from an apartment building he owned; the removal violated regulations and Landau failed to notify the EPA.	2	CAA s.7413 - knowingly violates (2).	\$75,000		Criminal prosecution. Guilty plea

Waste Disposal – Unlawful Disposal of Hazardous Waste

Country	Date	Offender	Legislation	Nature of the Offence	Charges	Regulation Contravened	Sentence	Court Orders	Notes
United Kingdom	2004	Environmental Chemical Control Limited (25)	Environmental Protection Act	Hazardous chemicals were dumped illegally at several locations around Wigan and Preston.	3	EPA - s.33(1)(a) deposited controlled waste without a license; s.33(6) breach of the conditions of a license.	\$42,159		Guilty plea.
United Kingdom	2004	Garry Briely and Deborah Martin (25)	Environmental Protection Act	Hazardous chemicals were dumped illegally - Briely and Martin were the directors of Environmental Chemical Control Ltd.	4	EPA - s.33(1)(a) deposited controlled waste without a license; s.33(6) breach of the conditions of a license.	200 hours of community service each	Prosecution costs ordered - \$30,975	Guilty plea.
United Kingdom	2005	Carlsberg UK Ltd (25)	Environmental Protection Act	Handed over drums containing strong acids or strong alkalis, both of which are very corrosive and are classed as a 'special waste'.	2	EPA - Staff disposed of hazardous substances illegally.	\$46,400	Prosecution costs ordered - \$3713	Guilty plea.
United States	1999	Rudolph S. Robinson and Ronald Taylor (28)	The Toxic Substances Control Act	Removed oil and transformer containing PCBs but could not prove he properly disposed of them; tests of a		TSCA – ss.614 & 2615(b)	\$6,000	Robinson: \$5,000 fine and 12 months probation; Taylor: \$1,000 fine, three months house arrest and 24 months of probation.	Criminal prosecution. Guilty plea.

				sewer line near the warehouse had elevated levels of PCBs.					
United States	1999	MCM Real Corporation (28)	The Resource Conservation and Recovery Act	Abandoned several containers of flammable waste and acid.		RCRA - s.6928(d)(2)(A)	\$280,000	\$560,570 to reimburse Superfund for cleaning it up.	Criminal prosecution. Guilty plea
United States	1999	Broomer Research Corporation and Thomas Kardos (28)	The Resource Conservation and Recovery Act	Broomer and Thomas Kardos disposed of low level radioactive hazardous waste by pouring them into the facility's storm drains, sinks and sanitary outfalls.		RCRA - s.6928(d)(2)(A)	\$110,500 8 months incarceration	Broomer Research - 72 months probation and \$100,400 in fines; Thomas Kardos: 8 months incarceration and \$10,100 in fines.	Criminal prosecution.
United States	1999	Fiberdyne, Inc (28)	The Resource Conservation and Recovery Act	Illegally treated and disposed of acetone.	4	RCRA s.6928 - knowingly violates	\$60,000	36 months probation; a special assessment fee of \$400	Criminal prosecution. Guilty plea
United States	2000	Cover-It (28)	The Resource Conservation and Recovery Act	Abandoned 17 containers of hazardous materials that were falsely labelled.	multiple	RCRA - s.6928(d)(1); (2); (4) and (5) knowingly treat/store/transport	\$150,000	60 months probation; \$12,749 in restitution payments; hire an environmental consultant to achieve full compliance.	Criminal prosecution. Guilty plea
United States	2000	James R. Hawley and Michael R. Knapp (28)	The Resource Conservation and Recovery Act	The employees of Cover-It that actually abandoned the hazardous materials and falsely labelled them.	multiple	RCRA - s.6928(d)(1); (2); (4) and (5) knowingly treat/store/transport	Conditional Sentence	Five months of home confinement followed by five months at a half-way house and 36 months probation.	Criminal prosecution. Guilty plea
United States	2000	Elliot Packer (28)	The Resource Conservation and Recovery Act	Stored containers of chemicals containing hazardous waste including cyanide compounds without a permit.		RCRA - s.6929(d)(2)(A) - treats, stores or disposes of hazardous wastes without a permit.	\$20,000 and six months incarceration	\$110,000 to the U.S. Treasury in restitution costs; 750 hours of community services; 60 months probation.	Criminal prosecution. Guilty plea
United States	2001	Michael Ray Kyle (28)	The Resource Conservation and Recovery Act			RCRA s.6928(d)(2) - knowingly treats, stores, or disposes of any hazardous waste.	\$9,540 10 months incarceration	36 months probation.	Criminal prosecution. Guilty plea
United States	2001	Edward Lebron Johnson (28)	The Resource Conservation and Recovery Act			RCRA s.6928(d)(2) - knowingly treats, stores, or disposes of any hazardous waste.	\$9,540 6 months incarceration	36 months probation.	Criminal prosecution. Guilty plea
United States	1998	Frank Carlow (28)	The Resource Conservation and Recovery Act	Had employees bury 170 - 55 gallon drums, containing PCBs and oils, in a hole dug on the property along a river.		RCRA s. 6928(d)(2)(A) - knowingly treats, stores or disposes of any hazardous waste.	60 months incarceration	36 months probation; no fine.	Criminal prosecution. Guilty plea

United States	1998	Jimmie Dale Fox (28)	The Resource Conservation and Recovery Act	Buried almost 50 55-gallon drums of ignitable paint and solvent waste at two locations.	multiple	RCRA - s.6928(d)(2)(A) - knowingly treats, stores or disposes of any hazardous waste without a permit.	\$10,000	48 months probation; \$100 special assessment fee.	Criminal prosecution. Guilty plea
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Waste Disposal – Disposal of Waste Without a License

Country	Date	Offender	Legislation	Nature of the Offence	Charges	Regulation Contravened	Sentence	Court Orders	Notes
United Kingdom	2004	Brian George Albert Tumilty (25)	Environmental Protection Act	Collected nearly 11,000 tyres from 71 different tyre producers and deposited them at various locations without a license.	8	EPA s.33 Knowingly causing controlled waste to be deposited without a license.	180 hours of community service	Prosecution costs ordered - \$3,666.	Guilty plea.
United Kingdom	2005	Steven Lloyd (25)	Environmental Protection Act	Running a waste transfer site while its waste management licence was suspended and for failing to complete transfer notes for the movement of waste.	3	Not specified.	\$38,048		Guilty plea.

Waste Disposal – Marine Disposal

Country	Date	Offender	Legislation	Nature of the Offence	Charges	Regulation Contravened	Sentence	Court Orders	Notes
Canada	2001	Daley Brother Ltd. (6)	Canadian Environmental Protection Act	Loading a substance on ship/aircraft for disposal at sea in a prohibited area.		CEPA - s.124	\$6,461	\$6,053 paid into Environmental Damage Fund.	
Canada	2001	Daley Brother Ltd. (6)	Canadian Environmental Protection Act	Disposed of fish offal outside their designated disposal area, and without the necessary permits.		CEPA - s.124	\$6,461	\$6,053 paid into Environmental Damages Fund.	
Canada	2003	Dandy Dan's Fish Market Limited (6)	Canadian Environmental Protection Act	Illegal ocean disposal of fish offal.		CEPA - s.124	\$1,254	\$1,500 paid into Environmental Damage Fund.	

Canada	2003	St-Paul Seafood Limited (6)	Canadian Environmental Protection Act	Company dumped fish waste without holding the required permit from May to August, 2001.		CEPA - s.124(1)	\$7,164	\$6,805 paid into Environmental Damages Fund.	Guilty plea
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Waste Storage – Unlawful Storage of Waste

Country	Date	Offender	Legislation	Nature of the Offence	Charges	Regulation Contravened	Sentence	Court Orders	Notes
Canada	1998	Columbia Frame Inc. (9)	Canadian Environmental Protection Act	Failed to properly store containers with PCBS.	3	Storage of PCB Material	\$4,050		
United Kingdom	2004	Wilfred Kenneth Hughes (25)	Environmental Protection Act	Exceeded quota for the storage of plastics and other wastes, was warned, then abandoned them and they subsequently caught fire.	2	Waste Management	8 months incarceration	Suspended for one year because of "previous good character."	Guilty plea. No order made for costs because he is bankrupt.
United Kingdom	2004	AWR Rent A Skip Limited (25)	Environmental Protection Act	Storing too much waste and for too long; breaches of security at its premises.		EPA s.33(6) - breach the conditions of license for waste management.	\$4,583	Prosecution costs ordered - \$2,804	Guilty plea.
United Kingdom	2005	Pat Fennessey (25)	Environmental Protection Act	Dismantling or treatment of vehicles containing various polluting materials, such as oils, fuels and brake fluid without a license.		EPA -s.33 no license. End of life Vehicle Regulations.	100 hours of community service.	150 prosecution costs.	Guilty plea
United Kingdom	2005	Mark Wagstaff (25)	Environmental Protection Act	Stored between 500 and 700 cubic meters of waste while being licensed for only 255 cubic meters.		EPA s.33 - storing more waste on his site than he was permitted to.	160 hours	Prosecution costs ordered - \$1,206	
United Kingdom	2005	Jewson Ltd (25)	Environmental Protection Act	A former storage compound at the rear of the site was being used to stockpile wastes including soil, subsoil, concrete, metal, and asbestos cement.	4	EPA - s.33 illegally depositing, keeping and treating controlled waste on land when they did not have a waste management licence.	\$74,240	Prosecution costs ordered - \$11,471.	Guilty plea.

United Kingdom	2005	Van Dalen UK Ltd (25)	Environmental Protection Act	Illegal storage of approx 1700 waste fridges over the course of a few months. Did not have a waste management license.	2	EPA - s.33 depositing and keeping waste on land without a Waste Management Licence.	\$37,120	Prosecution costs ordered - \$5302.	Guilty plea.
United Kingdom	2005	John William Woodruff (25)	Environmental Protection Act	A large pile of waste lying on the ground (old piano, a tin bath, and a chest freezer etc) some of it from outside the premises. Mr. Woodruff set the waste on fire when the police arrived.	3	EPA - s.33 allowing controlled waste to be deposited without a license; disposing of controlled waste by burning without a licence; obstructing an authorised person.	\$1,856	Two year conditional discharge for the obstruction and depositing charges; Prosecution costs ordered - \$2,294	Guilty plea.
United Kingdom	2005	Mr Peter Whitmore and his company. (25)	Environmental Protection Act	Operating an illegal waste site in Kent - large waste transfer and skip operation without a license.	3	EPA - s.33 keeping controlled waste on his land without a waste management licence.	\$55,680		Tried in the company's absence - refused to attend hearings.
United Kingdom	2005	Nicholas John Low (25)	Environmental Protection Act	Waste included cars, car parts, paint tins and drums.		EPA - s.33 keeping controlled waste on his land without a waste management licence.	\$3,712	Prosecution costs ordered - \$14,059; six months to pay or 45 days in prison.	Found guilty after a 2 day trial.
United States	1999	Billy L. Stevens (28)	The Resource Conservation and Recovery Act	Stored petroleum-based wastes, chlorinated compounds and spent solvents at the Mizzou facility without a permit.		RCRA - s.6928 knowingly violates	12 months and one day incarceration		Criminal prosecution. Guilty plea

Waste Storage – Unlawful Storage of Hazardous Waste

Country	Date	Offender	Legislation	Nature of the Offence	Charges	Regulation Contravened	Sentence	Court Orders	Notes
Australia (NSW)	2002	Grantley Shane Douglass (1)	Waste Minimisation and Management Act	Cause his land to be used as a waste facility without being lawfully authorised - stored dangerous and hazardous materials when there was a fire.		WMMA - s.64(1)	\$24,485	Prosecution costs ordered - \$26,942. \$636,318 into a Environmental Trust fund for costs incurred in the mitigation environmental damage.	

United States	1999	VC Tank Line (28)	The Resource Conservation and Recovery Act	The defendants stored styrene monomer at its facility.	3	RCRA - s.6928(d)(2) - illegal storage of hazardous waste.	\$191,362	Court ordered costs \$8,838.13 - evacuation of the residents.	Criminal prosecution. Guilty plea
United States	2001	Joe W. Proctor (28)	The Resource Conservation and Recovery Act	The defendant knowingly stored hazardous wastes at the closed facility without a permit until 1999.		RCRA s.6928(d)(2) - knowingly treats, stores, or disposes of any hazardous waste.	\$10,000 8 months incarceration	36 months probation; \$25,000 in restitution to the Tennessee Department of Environmental Protection.	Criminal prosecution. Guilty plea.

Wildlife Offences – Unlawful Hunting

Country	Date	Offender	Legislation	Nature of the Offence	Charges	Regulation Contravened	Sentence	Court Orders	Notes
Canada	2002	William Joy and Daniel Hulton (6)	Migratory Bird Convention Act	Illegally depositing bait to attract migratory birds for the purpose of hunting.		Migratory Bird Regulations	\$1,193	Prohibited from applying for or holding a migratory game bird permit for a period of one year.	
Canada	2003	Calvin B. Murphy and two others (6)	Migratory Bird Convention Act	The illegal possession of migratory birds, hunting migratory birds from a power boat, and hunting without a license.	multiple	Not specified	\$3,224	Forfeiture of equipment and a boat. Prohibition from hunting - one for life; one for 10 years; one for five years.	Mr. Flemming also convicted under the Criminal Code and sentenced to 4 months.
Canada	2004	Arthur Corpening et al. (three more) (6)	Migratory Birds Convention Act and the Saskatchewan Wildlife Act	Violating federal and provincial wildlife legislation.	9		\$23,879	\$3,081 to the Environmental Damages Fund in exchange for the return of some equipment. Forfeit of addition equipment worth approx \$8,088	
Canada	2004	David Gerard Nash and Darren Francis English (6)	Migratory Bird Convention Act	Hunting migratory birds out of season.	1 each	Migratory Bird Regulations	\$3,081	\$1540 each. Forfeit hunting equipment and prohibited from hunting migratory birds for five years.	
Canada	2004	Andre Hachem (6)	Migratory Birds Convention Act.	Unlawfully hunting migratory game birds without a permit; being in possession of migratory birds without a permit; unlawfully and knowingly making a false or misleading statement orally to a wildlife official.	multiple	Migratory Bird Regulations	\$963		Guilty plea

Jamaica	2002	Frederick Gore (14)	Wildlife Protection Act	Gore was found in possession of 19 Bald-pate; the "bag limit" is 15.		Wild Life Protection (Game Bird Hunting Limit) Regulations	\$420 or 30 days incarceration		Guilty plea.
Jamaica	2003	Andrew Dunbar (14)	Wildlife Protection Act	In possession of 26 Bald Pates and 3 White Winged Doves; limit is 15 Bald Pates and a total of 20 birds in any one session		Wild Life Protection (Game Bird Hunting Limit) Regulations	\$945 or six months incarceration		
Jamaica	2004	Daryl Vaz and a minor. (14)	Wildlife Protection Act	Mr. Vaz was licensed, but hunting birds with his son, who was not.	1 each	WPA - s.8A hunting without a license.	\$2,610	Vaz - maximum penalty for aiding and abetting hunting without a hunter's licence. His son was fined \$870.	
Jamaica	2004	Eric Brown (14)	Wildlife Protection Act	Found in possession of protected birds he had shot without a license.	2	WPA - s.8A hunting without a license; s.6A possession of protected birds.	\$348	\$174 or three months in prison on each charge.	

Unlawful Import/Export of Fish

Country	Date	Offender	Legislation	Nature of the Offence	Charges	Regulation Contravened	Sentence	Court Orders	Notes
Canada	2003	Marek Aleksander Sikorzak (6)	Wild Animal and Plant Protection and Regulation of International and Interprovincial Trade Act	Illegally imported 12.5 kg. of caviar.		WAPPRIITA - s.6(2)	23 days incarceration	The caviar was ordered forfeited to the Crown (value of \$17,910).	
Canada	2003	Malgortzata Maria Zabierzowska (6)	Wild Animal and Plant Protection and Regulation of International and Interprovincial Trade Act	Illegally imported 4 kg. of caviar.		WAPPRIITA - s.6(2)	13 days incarceration	The caviar seized was ordered forfeited to the Crow (value of \$5,731).	
Canada	2004	Joe Lian Ho LUAH (6)	Canada's Wild Animal and Plant Protection and Regulation of International and	Illegally exporting fish from Canada into the United States to meet the high black market demand for ornamental fish.		Not specified	\$46,218	A "significant portion" of the fine will be donated to the Calgary Zoo in support of their planned conservation and endangered species exhibit through the	

			Interprovincial Trade Act					Environmental Damages Fund.	
United States	2004	Arnold Bengis, Chairman of Hout Bay (31)	Lacey Act	Illegally harvested lobsters and fish in South Africa and imported them to the US.	4	Importing wildlife caught in violation of foreign laws; conspiracy.	46 months incarceration	Forfeit a total of \$5.9 million.	Guilty Plea
United States	2004	Jeffery Noll, Chairman Associated and Icebrand (31)	Lacey Act	Illegally harvested lobsters and fish in South Africa and imported them to the US.	2	Importing wildlife caught in violation of foreign laws; conspiracy.	30 months incarceration	Forfeit a total of \$5.9 million.	Guilty Plea

Unlawful Import/Export of Hazardous Waste

Country	Date	Offender	Legislation	Nature of the Offence	Charges	Regulation Contravened	Sentence	Court Orders	Notes
Canada	2001	Safety-Kleen Ltd. (9)	Canadian Environmental Protection Act	Failing to give notice of the proposed export of hazardous waste.			\$32,305		Transportation of Dangerous Goods Regulations violations - additional \$50,000
Canada	2002	L'entreprise Québec Métal Recyclé (6)	Canadian Environmental Protection Act	Importing waste batteries.	3	Export/Import of Hazardous Waste Regulations	\$2,907	Order to lawfully dispose of the batteries.	
Canada	2003	Shell Canada Products Limited (6)	Canadian Environmental Protection Act	Unlawfully imported gasoline with a benzene concentration above the regulatory limit and for failed to report the imports.	multiple	Benzene in Gasoline Regulations	\$35,820	\$30,805 directed for environmental research at the University of Calgary's Faculty of Environmental Design	
Canada	2004	Metalex Products Ltd (6)	Canadian Environmental Protection Act	Unlawfully imported a hazardous waste, scrap lead shot, into Canada.		CEPA - s.185(1)	\$3,852	\$3466 to the Environmental Damage Fund to assist in a study to determine the cause of lead poisoning of trumpeter swans in Fraser Valley.	

Canada	2004	Chem-Tech Environnement Inc. (6)	Canadian Environmental Protection Act	Imported hazardous wastes from liquid toxic pesticides, without holding the required permit.		CEPA - 185(1)(b)(i)	\$2,696		
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Unlawful Import/Export of Ozone Depleting Substances

Country	Date	Offender	Legislation	Nature of the Offence	Charges	Regulation Contravened	Sentence	Court Orders	Notes
Canada	1998	Harris and Rome Supply (10)	Canadian Environmental Protection Act	Importing a controlled substance without a permit.		Ozone-Depleting Substances	\$13,500	\$10,125 paid into Environmental Damage Fund.	
Canada	2001	Sherrit International Corporation (1)	Canadian Environmental Protection Act	Exporting a controlled substance (CFC canisters).		Ozone-Depleting Substances	\$19,383	\$19,383 paid into Environmental Damage Fund; publication of an article.	Negotiated Settlement
Canada	2001	Thompson, Kiston (6)	Canadian Environmental Protection Act	Imported an undeclared a thirty pound cylinder of chlorofluorocarbons (CFC's).		Ozone-Depleting Substances	\$1,292	One year probation.	Other charges arising from the same incident.
Canada	2003	Canadian Tire Corporation (6)	Canadian Environmental Protection Act	Illegally imported bar refrigerators containing a substance known to deplete the earth's ozone layer.		Ozone-Depleting Substances	\$17,910	All directed to the Canadian Dermatological Association for public education and awareness of skin cancer and related health concerns linked to the thinning of the ozone layer.	
Canada	2003	Snap On Tool (6)	Canadian Environmental Protection Act	Importing a quantity of schlorofluorocarbons (CFCs).	2	Ozone-Depleting Substances	\$28,656		
Canada	2004	Canadian Tire Corporation (6)	Canadian Environmental Protection Act	Illegally imported rear view mirror attachment kits that contained a substance known to deplete the earth's ozone layer.		Ozone-Depleting Substances	\$19,258	\$15,406 was directed to the Canadian Dermatological Association to increase public awareness of skin cancer and other health concerns related to the ozone layer.	

United States	2000	City Sales (28)	Clean Air Act	Illegally shipped 75 tonnes of CFC's to automotive stores in Canada.	8	CAA s.7413(c)(1) - knowingly violates	\$20,000		Criminal prosecution. Guilty plea
United States	2000	Larry Leblanc, owner of City Sales (28)	Clean Air Act	Illegally shipped 75 tonnes of CFC's to automotive stores in Canada.	8	CAA s.7413(c)(1) - knowingly violates	\$28,000 and 15 months incarceration		Criminal prosecution. Guilty plea
United States	2000	Scott Campion, employee of City Sales (28)	Clean Air Act	Illegally shipped 75 tonnes of CFC's to automotive stores in Canada.	10	CAA s.7413(c)(1) - knowingly violates	\$2,500		Criminal prosecution. Guilty plea

Unlawful Import/Export of Plant Life

Country	Date	Offender	Legislation	Nature of the Offence	Charges	Regulation Contravened	Sentence	Court Orders	Notes
Canada	2003	Cheung Hon (Oliver) Mok (6)	Wild Animal and Plant Protection and Regulation of International and Interprovincial Trade Act	Illegally importing traditional Chinese medicines containing derivatives of endangered animals and plants from Hong Kong.	2	WAPPRIITA - s.6(2)	\$15,671	A mandatory victim surcharge of \$3,134.	
Canada	2003	Allan Tetzlaff (6)	Wild Animal and Plant Protection and Regulation of International and Interprovincial Trade Act	Illegally exporting a rare and endangered species of orchid from Canada to Germany via the United States and England.		WAPPRIITA - s.6(2)	\$2,239	A 25% victim surcharge of \$447.	Guilty plea
Canada	2003	Ever Spring Orchid Nursery (6)	Wild Animal and Plant Protection and Regulation of International and Interprovincial Trade Act; Customs Act	Illegally importing endangered orchids into Canada without proper documentation.	multiple	WAPPRIITA - s.6(2)	\$10,746	Five thousand dollars of the fine will go to the non-profit group "Friends of the Conservatory", to build an educational display at the Assiniboine Park Plant Conservatory to house the seized orchids.	

Unlawful Import/Export of Wildlife

Country	Date	Offender	Legislation	Nature of the Offence	Charges	Regulation Contravened	Sentence	Court Orders	Notes
Canada	2000	Mike Flikkema and Harold Fikkema (6)	Wild Animal and Plant Protection and Regulation of International and Interprovincial Trade Act	Involved in a scheme which saw thousands of birds illegally imported into Canada and then illegally exported to the United States.		WAPPRIITA -s.6(2)	\$50,543	Mike Flikkem sentenced to 3 months in jail.	
Canada	2001	Johanne Flikkema (6)	Wild Animal and Plant Protection and Regulation of International and Interprovincial Trade Act	Involved in a scheme which saw thousands of birds illegally imported into Canada and then illegally exported to the United States.		WAPPRIITA -s.6(2)	\$32,305	Perform 40 hours of community service work plus a condition 1 year sentence.	
Canada	2001	Xuan Thanh Dang (6)	Wild Animal and Plant Protection and Regulation of International and Interprovincial Trade Act	Illegal importation without a permit - imported a live and rare type of Eagle.		WAPPRIITA -s.6(2)	\$3,231	\$5,814 in costs (the veterinary expenses incurred to date to care for the animal).	
Canada	2002	Trevor Roy Davies (6)	Wild Animal and Plant Protection and Regulation of International and Interprovincial Trade Act	Exporting a ceremonial dance costume bustle containing thirty-two bald eagle feathers.		Not specified.	\$1,292	The bustle was forfeited to the Crown.	
Canada	2002	Ashutosh Desai (6)	Wild Animal and Plant Protection and Regulation of International and Interprovincial Trade Act	Illegal importation - imported a parrot without a permit.		WAPPRIITA - s.6(2)	\$956	Bird was forfeited.	
Canada	2002	Phat Tan Duong (6)	Wild Animal and Plant Protection and Regulation of International and Interprovincial	Illegal importation - imported a parrot without a permit.		WAPPRIITA -s.6(2)	\$956	Bird was forfeited.	

			Trade Act						
Canada	2004	Luc Desgagne (6)	Wild Animal and Plant Protection and Regulation of International and Interprovincial Trade Act	Illegal importation - imported a parrot without a permit.		WAPPRIITA - s.6(2)	\$2,889	Forfeit the parrot - worth approx. \$351.	
Canada	2004	William Gorman (6)	Wild Animal and Plant Protection and Regulation of International and Interprovincial Trade Act	Unlawful export from Canada to the United States of an African grey parrot - no permit.		WAPPRIITA - unspecified, probably s.6	Suspended sentence	Parrot returned to Mr. Gorman	US citizen
Canada	2004	Choo-Sin (William) Chang (6)	Wild Animal and Plant Protection and Regulation of International and Interprovincial Trade Act; Ontario Fish and Wildlife Conservation Act	One charge of unlawfully exporting black bear gall bladders; one charge of unlawfully possessing black bear gall bladders.	2	WAPPRIITA - exporting charge s.6. OFWCA - possession charge	\$30,812	Mandatory victim surcharge of \$6,1624.	
South Africa	2002	Individual Defendant, flight engineer. (22)	Nature Conservation Ordinance	Attempted to smuggle 5 African grey and 2 Jardine parrots from the Congo into South Africa.		Prohibited acts with endangered species.	\$1,918 or 18 months incarceration	\$959 or 9 months imprisonment suspended for 3 years.	
South Africa	2003	Jan Bester (22)	Nature Conservation Ordinance	Illegally imported/smuggled 165 Cape Fur Seal skins from Nambia.		Prohibited acts with endangered species.	\$1,336 or 10 months incarceration	\$66 or 5 months of the sentence being suspended for 3 years on condition he won't be charged with a similar offence.	

Unlawful Sale of Goods

Country	Date	Offender	Legislation	Nature of the Offence	Charges	Regulation Contravened	Sentence	Court Orders	Notes
Australia (NSW)	2003	Individual Defendant (3)	Fisheries Management Act	Had sold fish worth almost \$93,000 that could not be accounted for.			\$4,387		
Canada	2001	Munro Electronics Ltd (9)	Canadian Environmental Protection Act	Sold/offered for sale a pressurized container with 2kg or less of HFCF.	2	Ozone-Depleting Substances	\$3,231	\$2,584 paid into Environmental Damage Fund.	
Canada	2001	RAE Industrial Electronics Ltd (9)	Canadian Environmental Protection Act	Sold/offered for sale a container with 2kg or less of HFCF	2	Ozone-Depleting Substances	\$3,231	\$2,584 paid into Environmental Damage Fund	
Canada	2004	William R. Jamieson (6)	Migratory Birds Convention Act; Wild Animal and Plant Protection and Regulation of International and Interprovincial Trade Act	Offering an endangered bird species for sale; illegally attempting to sell a mounted Eskimo curlew.		Migratory Birds Regulations s.12(1); WAPPRIITA s.8(c)	\$2,503		
New Zealand	2002	Boon Lim Chin (17)	Fisheries Act	Buying and selling black market paua and exportation to Hong Kong.		FA - s.233(1) obtain a benefit by knowingly acting in contravention of this act.	18 months incarceration	Banned from fishing for three years and had property, including three vehicles, forfeited to the Crown.	Guilty plea.

Miscellaneous

Country	Date	Offender	Legislation	Nature of the Offence	Charges	Regulation Contravened	Sentence	Court Orders	Notes
Jamaica	2003	Horace Knight (14)	Quarries Control Act	Possession of illegally quarried sand.		Not specified.	\$567	Fine includes a \$567 "wrecker" fee.	

United Kingdom	2004	Stephen Green and Alasdair Williamson (25)		Caught speeding on the River Arun.		Land Drainage and Sea Defence bylaws.	\$1,250	Prosecution costs ordered - \$174 each.	Found guilty in their absence.
United Kingdom	2004	Manchester Ship Canal Company (25)	Water Resources Act	Shipping company took more than the prescribed amount of water.	8	WRA s.24(1)(a) and 24(4)(a) - exceeded maximum quantity of water to be abstracted per day.	\$11,731	Prosecution costs ordered - \$1,218	Guilty plea.
United Kingdom	2005	Global Commodities (UK) Ltd (25)	Not specified.	Operating outside its permitted hours following a history of noise complaints and previous warnings.	4	Not specified.	\$31,552	Prosecution costs ordered - \$5,473	
United States	1999	John E. Sams (25)	The Resource Conservation and Recovery Act	Operated an illegal methamphetamine drug lab and drug distribution ring.		RCRA - 6928(d)(2)(A) - knowingly treats, stores or disposes of any hazardous waste; plus criminal drug charges.	151 months incarceration	36 months probation	Environmental charge in the hazardous production of drugs.
United States	2002	Individual Defendant, owner of Statewide Drilling (25)	New Hampshire State Regulations	Illegally contracted the drilling of wells in New Hampshire.			\$8,000 48 months incarceration	48 months incarceration suspended; 12 months probation; 50 hours of community service.	

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Exchange Rates

	1987	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005
Australia									.7436	.6298	.6457	.5826	.5182	.5441	.6528	.7373	.7705
Canada	.7544	.8574	.8729	.8283	.7758	.7324	.7291	.7336	.7224	.6750	.6736	.6739	.6461	.6372	.7164	.7703	.8113
India					.0318				.0275								
Jamaica														.0210	.0189	.0174	.0176
Mauritius									.0477								
New Zealand						.5944	.6567	.6882	.6625	.5372	.5296	.4579	.4212	.4650	.5827	.6646	.7111
Singapore									.6749								
South Africa														.0959	.1336	.1562	.1602
United Kingdom		1.785								1.657	1.617	1.516	1.441	1.504	1.635	1.833	1.856
United States	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1

- All fines or payments are given in US dollars, as calculated using this table.
- Rates are based on a yearly average obtained from <http://www.oanda.com/convert/fxhistory>. To obtain the figure in US dollars, simply times the local currency by the number in the table. (1 Aus\$ x .7705 = 77.05 US\$)
- Fines were often given in round numbers (i.e. \$5000), as opposed to the random numbers that standardizing the currency have created.

List of Acronyms

AIMPBA - Aquaculture Inland and Marine Products and By-Products (Inspection Licensing & Export) Act
APPS - Act to Prevent Pollution from Ships
CAA – Clean Air Act
CERCLA - Comprehensive Environmental Response, Compensation, and Liability Act
CEPA – Canadian Environmental Protection Act
CFPA - Coastal Fisheries Protection Act
CSA - Canada Shipping Act
CWA – Clean Waters Act
EA - Environment Act
EDF – Environmental Damages Fund
EHCA - Environmentally Hazardous Chemicals Act
EPA – Environmental Protection Act
FA – Fisheries Act
FIAR - Fishing Industry Act and Regulations
FIFRA - Federal Insecticide, Fungicide and Rodenticide Act
FMA - Fisheries Management Act
F/V – Fishing Vessel
MBCA - Migratory Bird Convention Act

MPA - The Marine Pollution Act
M/V – Merchant Vessel
NSW – New South Wales
PA - Pesticides Act
POEO - Protection of the Environment Operations Act
QLD – Queensland
RCRA - The Resource Conservation and Recovery Act
RMA - Resource Management Act
RRTA - Roads and Rail Transport (Dangerous Goods) Act
SDWA - The Safe Drinking Water Act
SFFA - Salmon and Freshwater Fisheries Act
TSCA - The Toxic Substances Control Act
VIC - Victoria
WAPPRIITA - Wild Animal and Plant Protection and Regulation of International and Interprovincial Trade Act
WMMA - Waste Minimisation and Management Act;
WPA - Wildlife Protection Act
WRA – Water Resources Act